

# Classical Lower Bounds from Quantum Upper Bounds

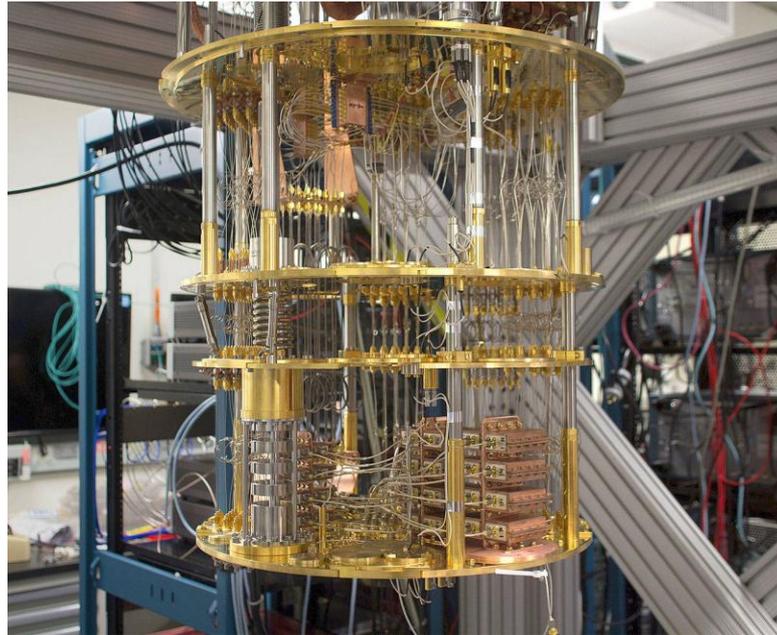
Shalev Ben-David, Adam Bouland,  
Ankit Garg, Robin Kothari



JOINT CENTER FOR  
QUANTUM INFORMATION  
AND COMPUTER SCIENCE

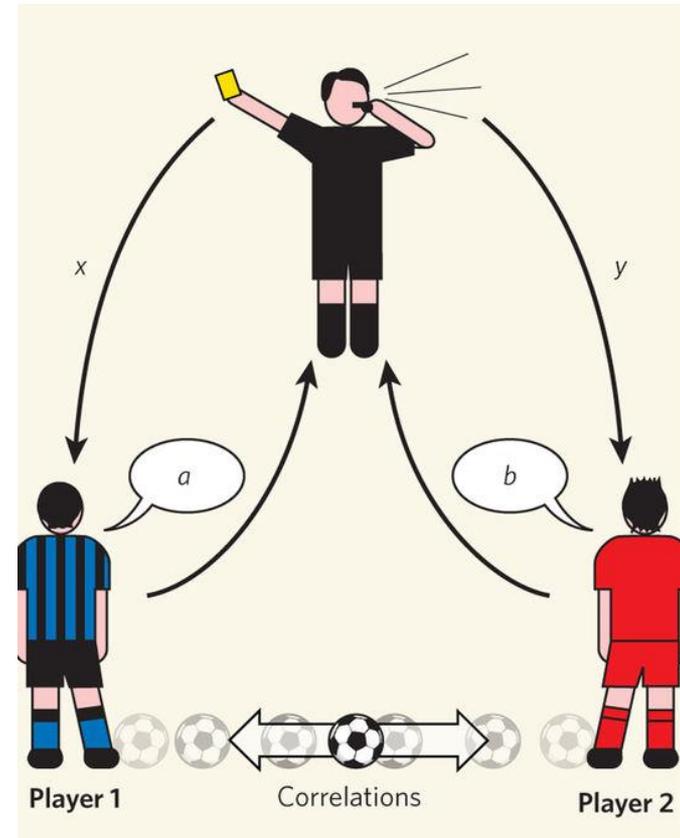
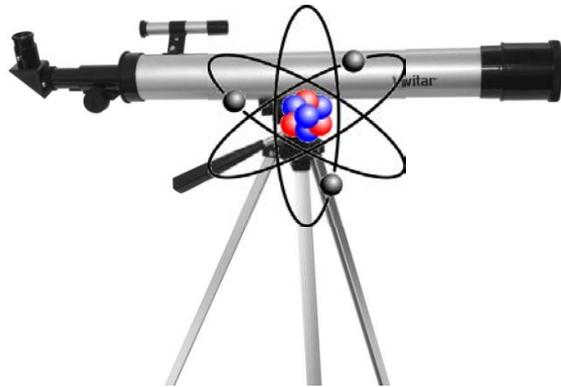
# What is quantum information good for?

- Building/understanding quantum computers



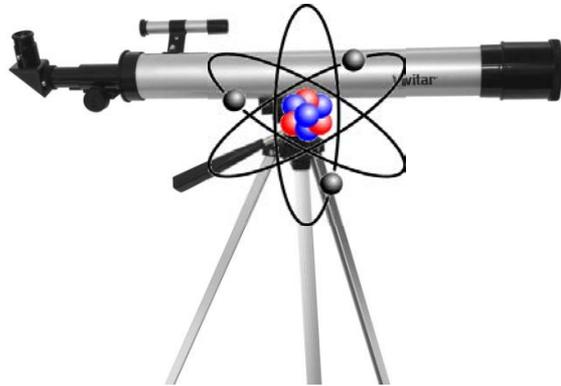
# What is quantum information good for?

- Understanding quantum mechanics



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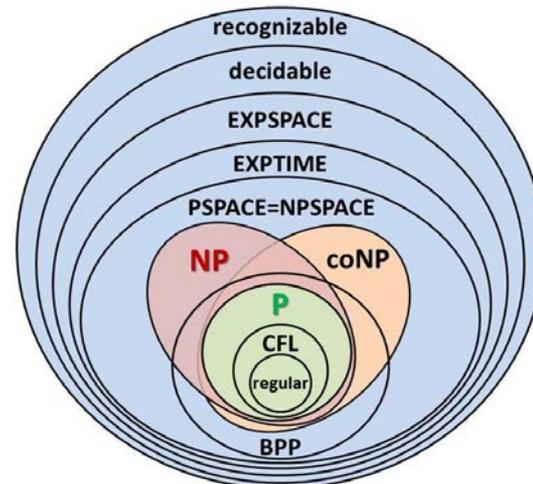
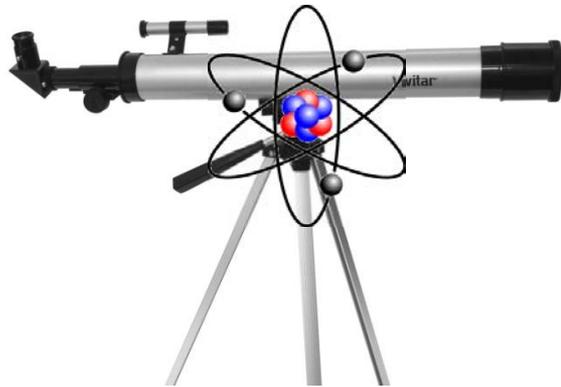
- Understanding quantum gravity



# What is quantum information good for?

- Learning about the nature of computation

Oftentimes one can prove statements about classical computer science using quantum ideas and techniques: The Quantum Method



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## Quantum Proofs for Classical Theorems

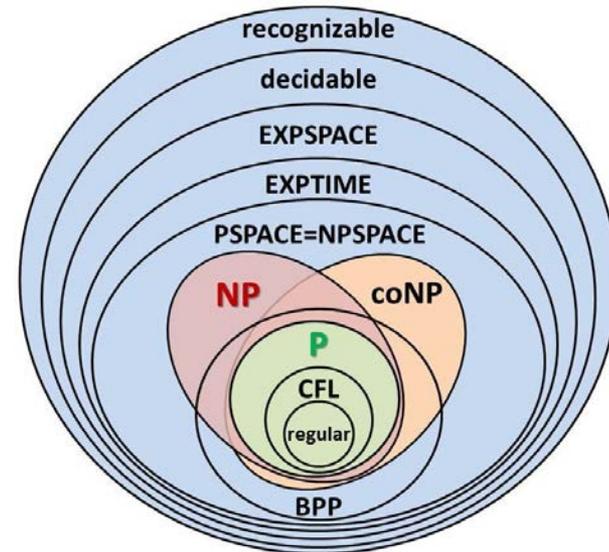
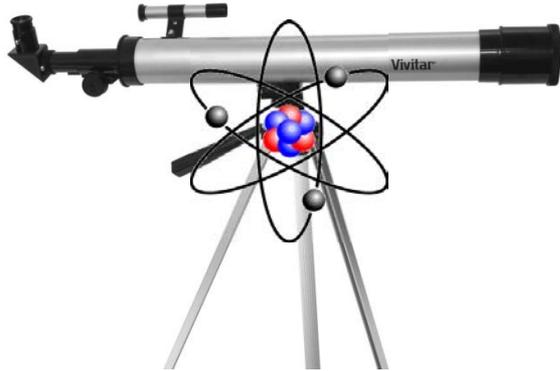
Andrew Drucker\*

Ronald de Wolf†

*Received: October 18, 2009; published: March 9, 2011.*

# Our Results

## Approximate degree & communication complexity



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## Quantum Proofs for Classical Theorems

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ACM Classification: F.1.2

AMS Classification: 68D68

# Our Results

Main Result:

A lower bound on the “approximate degree” of certain compositions of functions, and related quantities in communication complexity

Proof uses a **quantum algorithm** of Belovs, and there is **no known classical proof** of these results

# Wait, what??

## “Ironic Complexity”

Often one can use fast algorithms  
(upper bounds) to prove lower bounds

Non-Uniform ACC Circuit Lower Bounds

Ryan Williams\*  
IBM Almaden Research Center

November 23, 2010

**Abstract**

The class ACC consists of circuit families with constant depth over unbounded fan-in AND, OR, NOT, and  $\text{MOD}_m$  gates, where  $m > 1$  is an arbitrary constant. We prove:

- $\text{NTIME}[2^n]$  does not have non-uniform ACC circuits of polynomial size. The size lower bound can be slightly strengthened to quasi-polynomials and other less natural functions.
- $\text{E}^{\text{NP}}$ , the class of languages recognized in  $2^{O(n)}$  time with an NP oracle, doesn't have non-uniform ACC circuits of  $2^{n^{(d)}}$  size. The lower bound gives an exponential size-depth tradeoff: for every  $d$

Also Hoza '17, Cleve et al '13

# Our Results

“Quantum Method” + “Ironic Complexity”

Using quantum methods to  
prove classical theorems

Using fast algorithms to prove  
lower bounds

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- $\text{E}^{\text{NP}}$ , the class of languages recognized in  $2^{O(n)}$  time with an NP oracle, doesn't have non-uniform ACC circuits of  $2^{n^{\omega(1)}}$  size. The lower bound gives an exponential size-depth tradeoff: for every  $d$

# Background

$$f : \{0, 1\}^m \rightarrow \{0, 1\}$$

Approximate degree is a classical measure of the  
“complexity” of  $f$  (denoted  $\deg(f)$  )  
[Minsky Papert '69, Nisan Szegedy '94]

# Background

$$f : \{0, 1\}^m \rightarrow \{0, 1\}$$

$\widetilde{\deg}(f)$  is the minimum degree of a polynomial  $p$  in variables  $x_1 \dots x_m$  such that for all  $x$  in  $\{0, 1\}^m$

$$|f(x) - p(x)| \leq 1/3$$

Lower bounds quantum query complexity

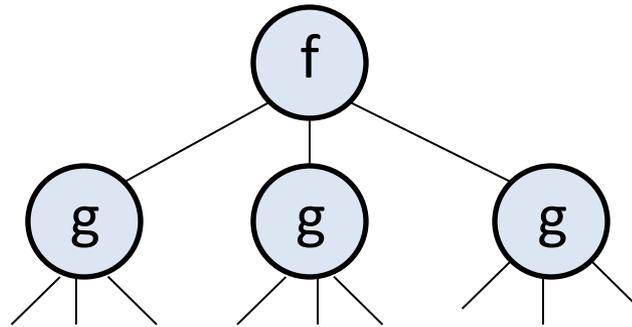
(Beals et al.)

# Background

Fundamental Problem: How does  $\widetilde{\deg}(f)$  behave under composition?

$$f:\{0,1\}^n \rightarrow \{0,1\}, g:\{0,1\}^m \rightarrow \{0,1\}$$

$$f \circ g : \{0,1\}^{nm} \rightarrow \{0,1\} = f(g,g,g,\dots,g)$$



What is  $\widetilde{\deg}(f \circ g)$  ?

# Background

What is  $\widetilde{\deg}(f \circ g)$  ?

Prior work:  $\deg(f \circ g) = O(\deg(f) \deg(g))$   
[Sherstov '12, improving Buhrman et al. '07]

Proof: compose the polynomials\*\*!

Leaves open: is  $\widetilde{\deg}(f \circ g) = \Omega(\widetilde{\deg}(f) \widetilde{\deg}(g))$  ?

Difficult to prove this! Only known for specific  $f, g$

# Our results

For all functions  $f$ ,

$$\widetilde{\text{deg}}(\text{OR}_n \circ f) = \Omega(\sqrt{n} \widetilde{\text{deg}}(f))$$

# Prior Results

What is the  $\deg(\text{OR}_n \circ \text{AND}_n)$ ?

Bound	Citation
$O(n)$	Høyer, Mosca and de Wolf [ <a href="#">HMdW03</a> ]
$\Omega(\sqrt{n})$	Nisan and Szegedy [ <a href="#">NS94</a> ]
$\Omega(\sqrt{n \log n})$	Shi [ <a href="#">Shi02</a> ]
$\Omega(n^{0.66\dots})$	Ambainis [ <a href="#">Amb05</a> ]
$\Omega(n^{0.75})$	Sherstov [ <a href="#">She09</a> ]
$\Omega(n)$	Sherstov [ <a href="#">She13a</a> ] and Bun and Thaler [ <a href="#">BT13</a> ]

Took 20 years to resolve just AND-OR tree!

# Our results

For all functions  $f$ ,

$$\widetilde{\text{deg}}(\text{OR}_n \circ f) = \Omega(\sqrt{n} \widetilde{\text{deg}}(f))$$

$$\left( \begin{array}{c} \text{vs. prior was only known} \\ \widetilde{\text{deg}}(\text{OR}_n \circ \text{AND}_m) = \Omega(\sqrt{nm}) \end{array} \right)$$

Generalizes existing results of AND-OR tree  
towards a general composition theorem,  
with completely different proof technique

# Our Results

Unbalanced case:

$$\widetilde{\text{deg}}\left(\text{OR}_n \circ (f_1, f_2, \dots, f_n)\right)^2 = \Theta\left(\sum_i \widetilde{\text{deg}}(f_i)^2\right)$$

-> tightly characterizes unbalanced AND-OR trees of any constant depth

[See also Ambainis'06]

# Our Results

We tightly characterize OR composition for deg

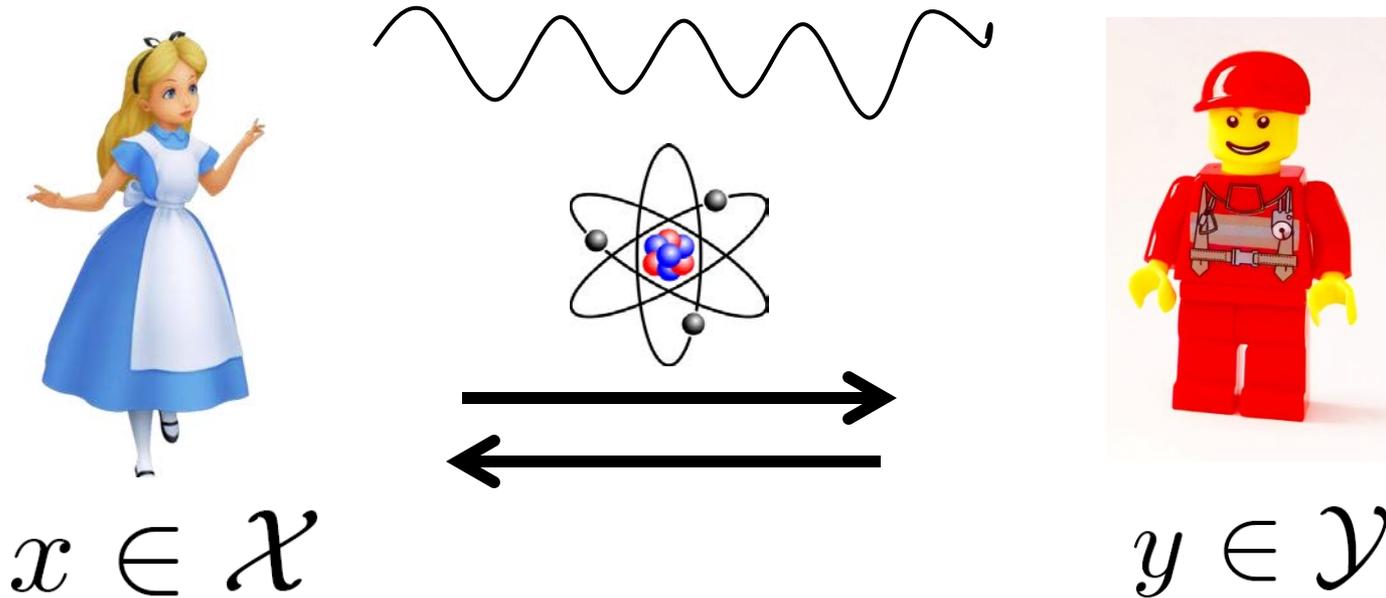
$$\widetilde{\text{deg}}(\text{OR}_n \circ f) = \Omega(\sqrt{n} \widetilde{\text{deg}}(f))$$

Also extend our results to quantum  
communication complexity

# Our Results: Extensions

Quantum communication complexity:

$$F : \mathcal{X} \times \mathcal{Y} \rightarrow \{0, 1\}$$



Quantum communication

Unlimited preshared entanglement

$Q^*(F)$

# Our Results: Extensions

How much communication is required to compute  $Q^*(\text{OR}_n \circ F)$ ?

We have for all  $F$ :

$$Q^*(\text{OR}_n \circ F) = \Omega\left(\frac{\sqrt{n} \log \tilde{\gamma}_2(F)}{\text{polylog } n}\right)$$

If  $F$  has an all zero row or column,

$$Q^*(\text{OR}_n \circ F) = \Omega(\sqrt{n} \log \tilde{\gamma}_2(F))$$

# Our Results: Extensions

How powerful are these new communication results?



We can reprove many (hard) quantum communication lower bounds

# Our Results: Extensions

Reprove powerful old results:

1. DISJOINTNESS =  $\bigvee_{i=1}^n (x_i \wedge y_i)$

$Q^*(\text{DISJOINTNESS}) = \Omega(n^{1/2})$

Reproves [Razbarov'03]

2. In fact it even requires  $\Omega(n^{1/2}/\log n)$  in  
“quantum information complexity”

Reproves [Braverman et al. '15] up to log

# Our Results

## Summary:

We've characterized how approximate degree & quantum communication quantities compose under OR composition (up to log factors)

This surpasses previous results, and can even be used to reprove many known lower bounds

# Our Techniques

All proofs have a common technique:

Use a clever algorithm of Belovs for a seemingly unrelated problem called “Combinatorial Group Testing”



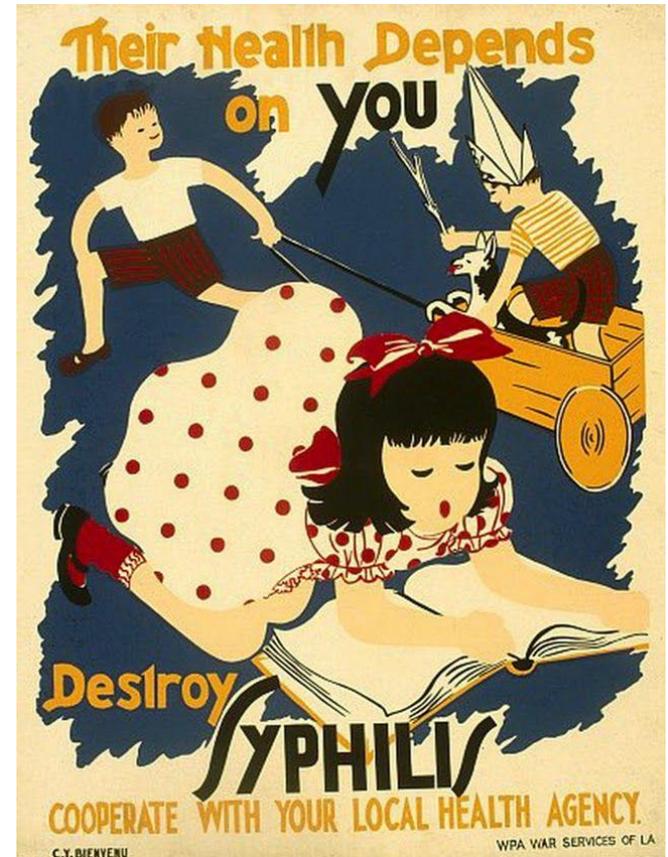
# Combinatorial Group Testing

Origins: WWII testing for Syphilis

Goal: Given blood samples from  $n$  people, determine which have disease

Blood test detected antigen, want to minimize # tests

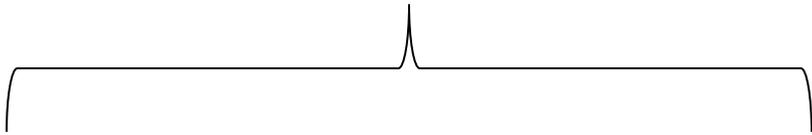
Note: If you mix multiple samples, you can tell if at least one of the samples has the antigen



# Combinatorial Group Testing

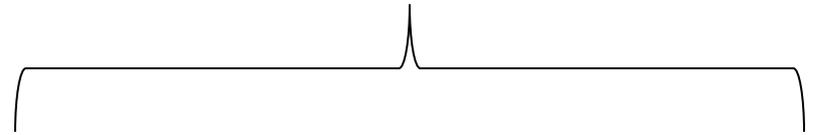
If few have the disease, can use fewer than  $n$  tests

0



0 0 0 0

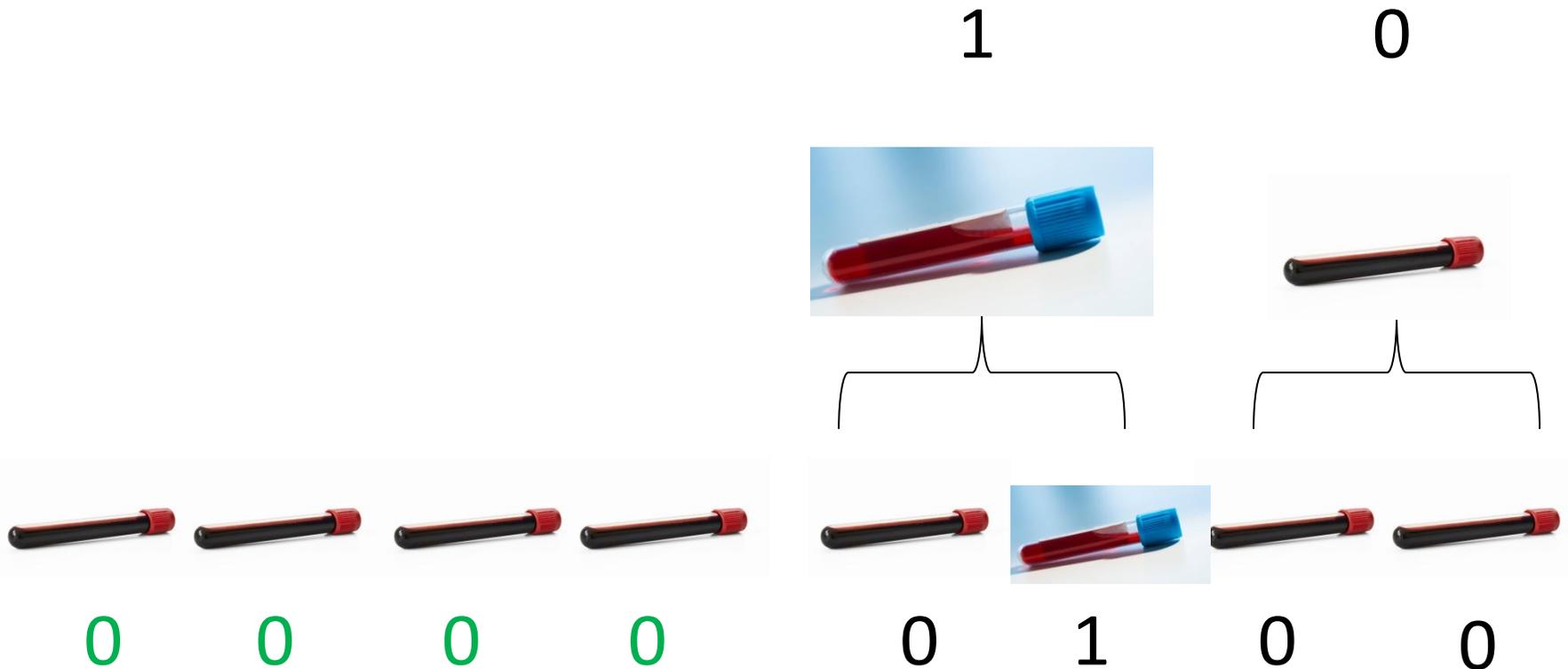
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0 1 0 0

# Combinatorial Group Testing

If few have the disease, can use fewer than  $n$  tests



# Combinatorial Group Testing

If you know only 1 person has disease, can get away with only  $O(\log n)$  tests instead of  $n$

If  $k$  have disease, need  $O(k \log n)$  tests



0 0 0 0



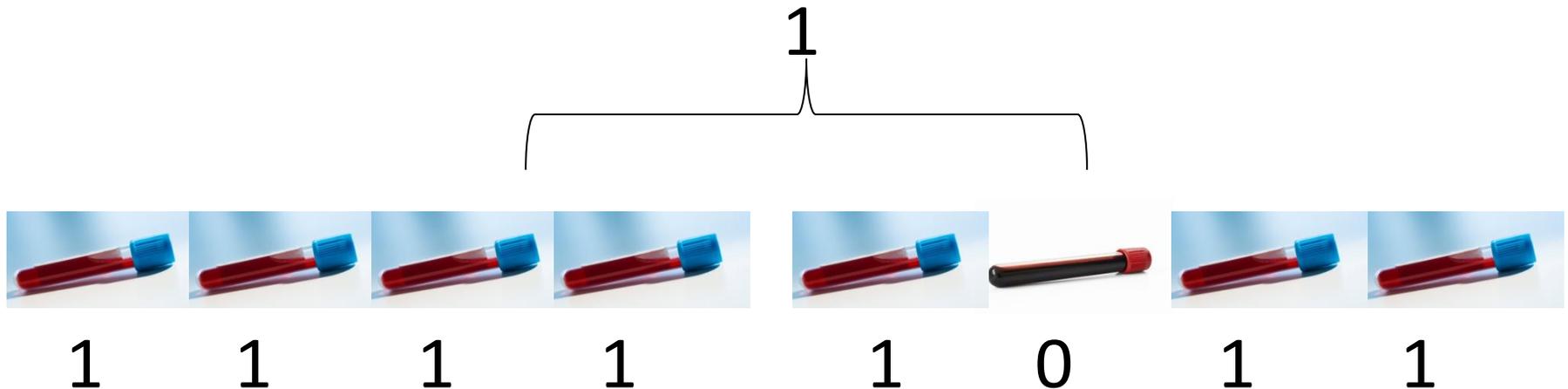
0 1 0 0

# Combinatorial Group Testing

For worst-case inputs still need  $\Omega(n)$  tests:

Reduces to search if all but one have disease

Every subset tests positive, except singleton set with the non-sick person



# Combinatorial Group Testing

Formalization: Hidden string  $x$  in  $\{0,1\}^n$

Goal: Learn  $x$

Queries: Given a subset  $S$  of  $\{0,1\}^n$ , can learn

$$x_S = \bigvee_{i \in S} x_i \quad .$$

Classical complexity:  $\Theta(n)$  for worst case  $x$

(but  $O(k \log n)$  for  $k$ -sparse  $x$ )

# Combinatorial Group Testing

What if we could make the OR subset queries to hidden string  $x$  in superposition?

Classical:  $\Theta(n)$  for generic strings  $x$



Belovs '13:  $\Theta(n^{1/2})$  for generic strings  $x$

(also prior work by Ambainis-Montanaro '12)

# Combinatorial Group Testing

What if we could make the OR subset queries to hidden string  $x$  in superposition?

Classical:  $\Theta(n)$  for generic string



Belovs' generic

Belovs' proof: Adversary magic

that we allow  $A$  of size less than  $k$ . In this section, we prove the following result:

**Theorem 3.** *The quantum query complexity of the combinatorial group testing problem is  $\Theta(\sqrt{k})$ .*

The lower bound can be proved by a reduction from the unordered search, refer to [4] for more detail. Here we prove the upper bound. We do so by constructing a feasible solution to (3). This is done in two steps: First, we define rank-1 matrices  $Y_S(p)$ , and then build the matrices  $X_S$  from them.

Let  $P$  be the binomial probability distribution on  $[n]$  with probability  $p$ . Recall that it is a probability distribution on the subsets of  $[n]$ , where each element of  $[n]$  is included into the subset independently with probability  $p$ . By  $P(S)$ , we denote the probability of sampling  $S$  from  $P$ :  $P(S) = p^{|S|}(1-p)^{n-|S|}$ . Finally, let  $\Delta$  denote the symmetric difference of sets.

We define  $Y(p) = (Y_S(p))_{S \subseteq [n]}$  by

$$Y_S(p) = \frac{P(S)}{2p} \psi \psi^* \geq 0,$$

where

$$\psi[A] = \frac{1}{(1-p)^{|A|/2}} \times \begin{cases} \sqrt[4]{kp/(1-p)}, & \text{if } |A \cap S| = 0; \\ \sqrt[4]{(1-p)/(kp)}, & \text{if } |A \cap S| = 1; \\ 0, & \text{otherwise;} \end{cases}$$

for all  $A \in C$ . In this notation,

$$\begin{aligned} \sum_{S \subseteq [n]} Y_S(p)[A, A] &= \frac{1}{2p(1-p)^{|A|}} \left( \Pr_{S \sim P} [|S \cap A| = 0] \sqrt{\frac{kp}{1-p}} + \Pr_{S \sim P} [|S \cap A| = 1] \sqrt{\frac{1-p}{kp}} \right) \\ &= \frac{1}{2p(1-p)^{|A|}} \left( (1-p)^{|A|} \sqrt{\frac{kp}{1-p}} + |A|p(1-p)^{|A|-1} \sqrt{\frac{1-p}{kp}} \right) \leq \sqrt{\frac{k}{p(1-p)}}. \end{aligned}$$

Now we fix two distinct elements  $A, B$  of  $C$ . An element  $A$  is used in  $Y_S$  only if  $|S \cap A| \leq 1$ . Thus, we are only interested in  $S \subseteq [n]$  such that  $|A \cap S| + |B \cap S| = 1$ . Thus,

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Now, for each  $S \subseteq [n]$ , let

$$X_S = \int_0^1 Y_S(p) dp.$$

First, each  $X_S$  is positive semi-definite, because positive semi-definite matrices form a convex cone. Next, for any  $A \in C$ :

$$\sum_{S \subseteq [n]} X_S[A, A] \leq \sqrt{k} \int_0^1 \frac{dp}{\sqrt{p(1-p)}} = \pi \sqrt{k}.$$

And finally, for all  $A \neq B$  in  $C$ :

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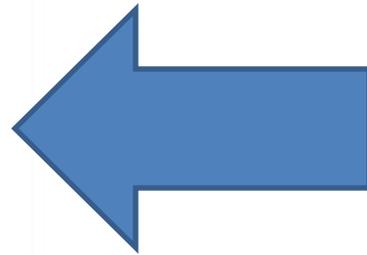
# Proof of Main Result

Goal: lower bound  $\widetilde{\deg}(\text{OR}_n \circ f)$

Suppose  $\widetilde{\deg}(\text{OR}_n \circ f) = T$ , where  $T$  too small,  
and let  $p$  be corresponding polynomial

Basic idea: Compose  $p$  with Belovs' algorithm to  
get a ``too good to be true'' polynomial for a  
harder problem

# Proof of Main Result



Belongs polynomial  $q$   
Input: ORs of subsets  
Cost  $n^{1/2}$

$p$  for  $\widetilde{\deg}(\text{OR}_n \circ f)$   
Cost  $T$

# Proof of Main Result



Get a polynomial of degree  $Tn^{1/2}$  which computes string of  $f$ 's and hence  $XOR_n f$

BUT  $T n^{1/2} \geq \deg(XOR_n f) \geq \Omega(n \deg(f))$

[Sherstov '12]

# Proof of Main Result



$$T = \widetilde{\deg}(\text{OR}_n \circ f) =$$

Get  
com

$$\Omega(n^{1/2} \deg(f))$$

which  
 $\text{OR}_n f$

BUT  $T \geq n^{1/2} \geq \deg(\text{XOR}_n f) \geq \Omega(n \deg(f))$

[Sherstov '12]

# Proof of Main Result

Summary: If there were a better polynomial for

$$\widetilde{\deg}(\text{OR}_n \circ f)$$

Then combining it with Belov's algorithm, would get a too-good-to-be-true polynomial for

$$\widetilde{\deg}(\text{XOR}_n \circ f)$$

Which we know must be very high

# Proof of Main Result



Belovs

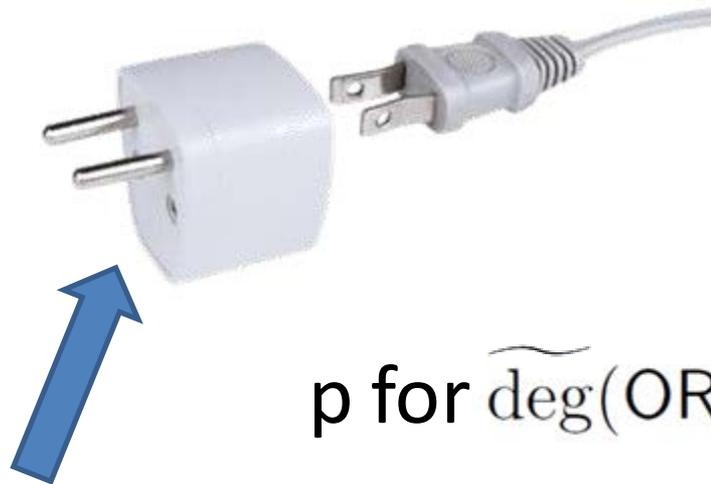


$p$  for  $\widetilde{\deg}(\text{OR}_n \circ f)$

# Proof of Main Result



Belovs



$p$  for  $\widetilde{\deg}(\text{OR}_n \circ f)$

Robustification: making polynomials robust to receiving “approximately boolean” inputs

[Sherstov ‘13]

# Proof of Main Result



Communication results: pass too-good to be true approx rank  
decomp of  $OR_n F$  through the Belovs polynomial using  
Hadamard product .... make too-good-to-be-true approx rank  
decomposition of  $XOR_n$

# Where can I read about this!?



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# Forthcoming Generalization

For all symmetric  $s$ ,

$$\widetilde{\deg}(s \circ f) = \Omega(\widetilde{\deg}(f) \widetilde{\deg}(s) / \log(n))$$

Requires opening black boxes of Belovs algorithm and Sherstov robustification



# Open Problems

- Is  $\widetilde{\text{deg}}(f \circ g) = \Omega(\widetilde{\text{deg}}(f) \widetilde{\text{deg}}(g))$  ?
- Can one construct a dual witness for our bound on  $\widetilde{\text{deg}}(\text{OR} \circ f)$ ?
- Can we use  $f$ -queries instead of OR-queries to learn  $x$  efficiently, following Belovs?

Thanks for your attention!

Questions?