

# *Implementation of an attack scheme on a practical QKD system*

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*QIP 2011, 10. January 2011 Sentosa*

# Overview

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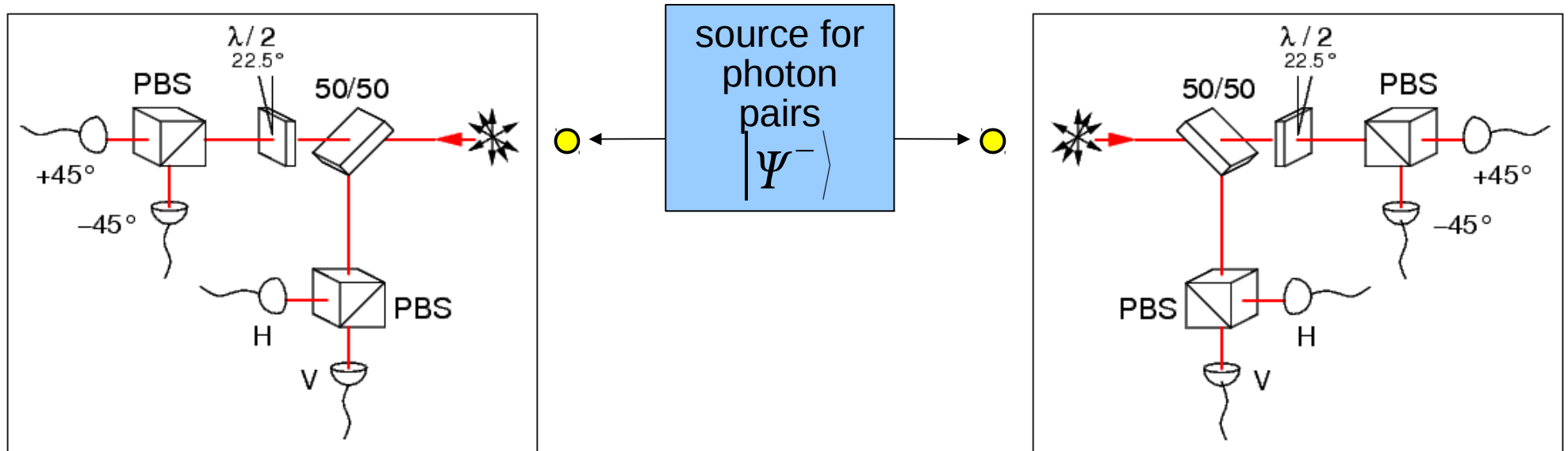


- Our BB92 QKD implementation
- Older attacks
- Photodetector vulnerability
- Practical attack on BBM92 for a fiber channel
- 'Faking' the violation of a Bell test

# QKD with photon pairs: BBM92



Quantum correlations & measurements on both sides



public discussion (sifting, key gen / state estimation)



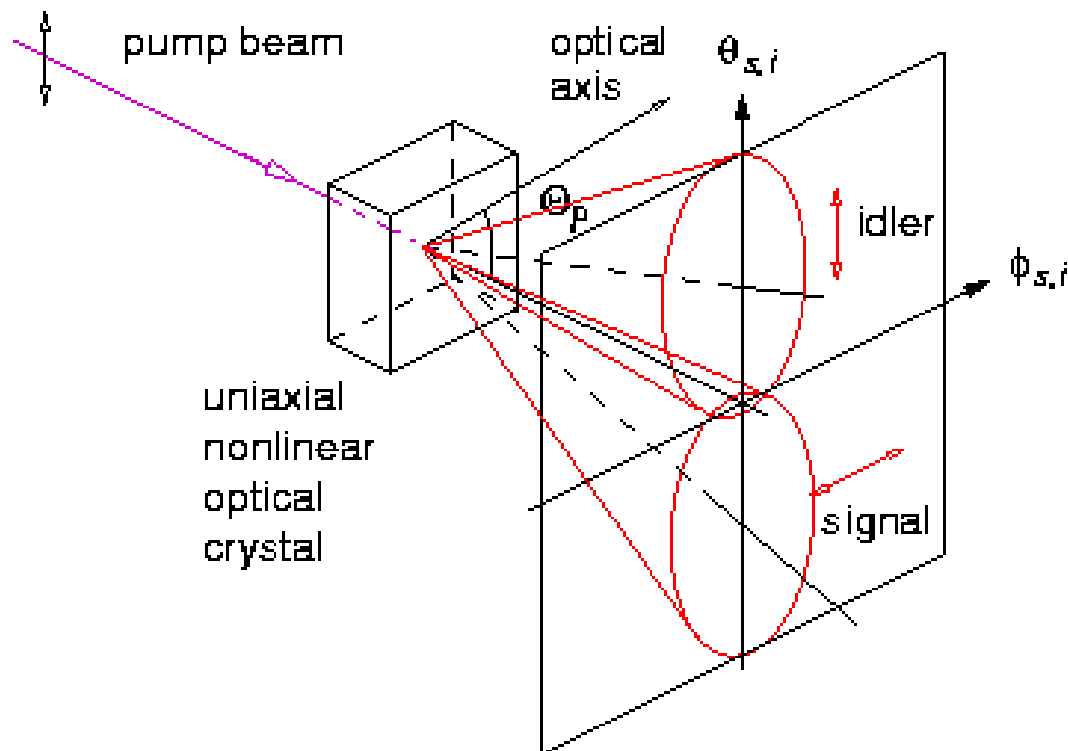
error correction, privacy amplification



- like BB84, but no trusted random numbers for key
- direct use of quantum randomness for measurement basis

# Entangled Photon Source

- Use non-collinear type-II parametric down conversion



two indistinguishable decay paths lead to

$$|\Psi^-\rangle = \frac{1}{\sqrt{2}} (|HV\rangle - |VH\rangle)$$

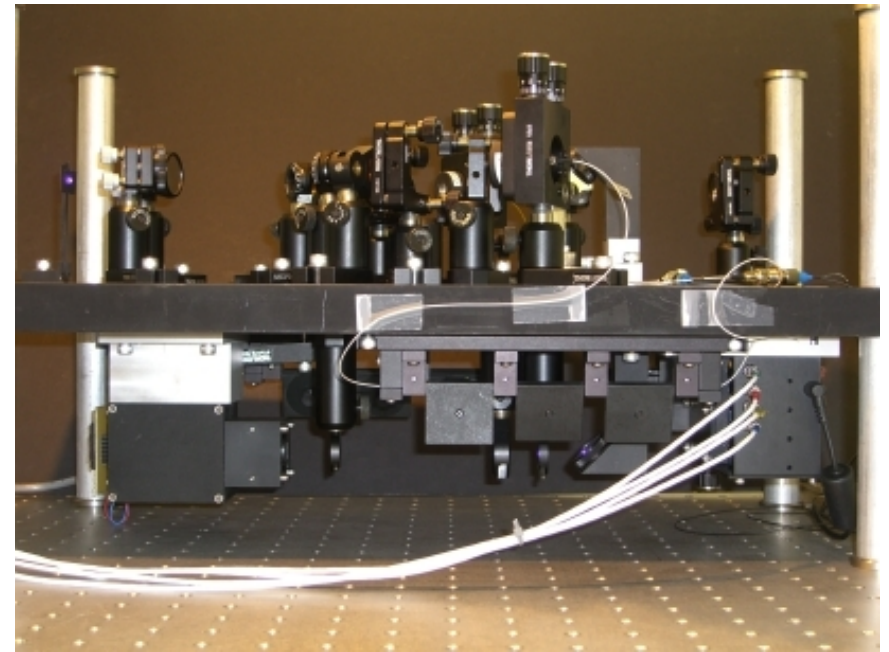
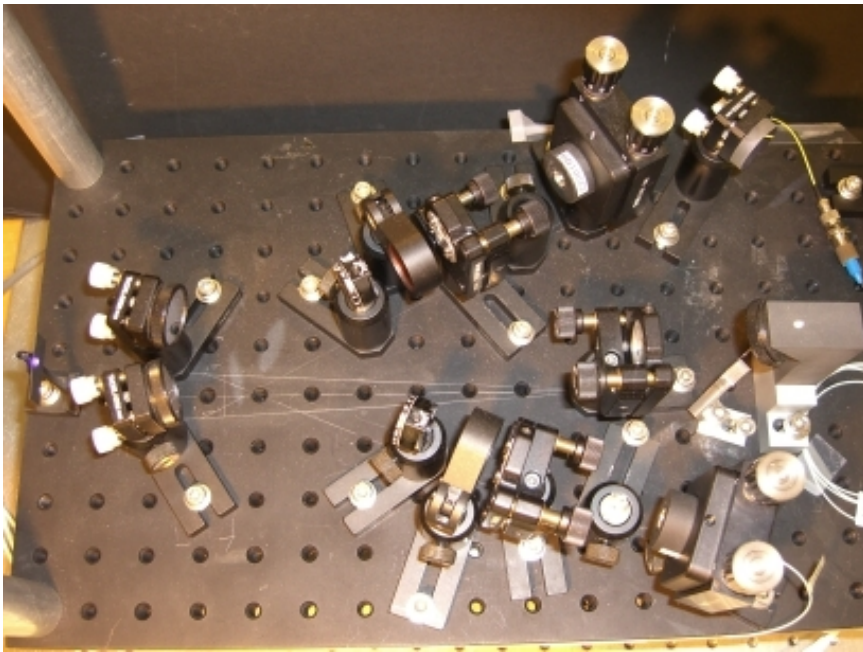
*P.G. Kwiat et al., PRL 75, 4337 (1995)*

- Collect polarization-entangled photon pairs into single spatial modes (e.g. optical fibers) for good transmission

*C.K., M.O., H.W., PRA 64, 023802 (2001)*

# Practical Pair Source

Diode-laser pumped non-collinear type-II PDC in BBO

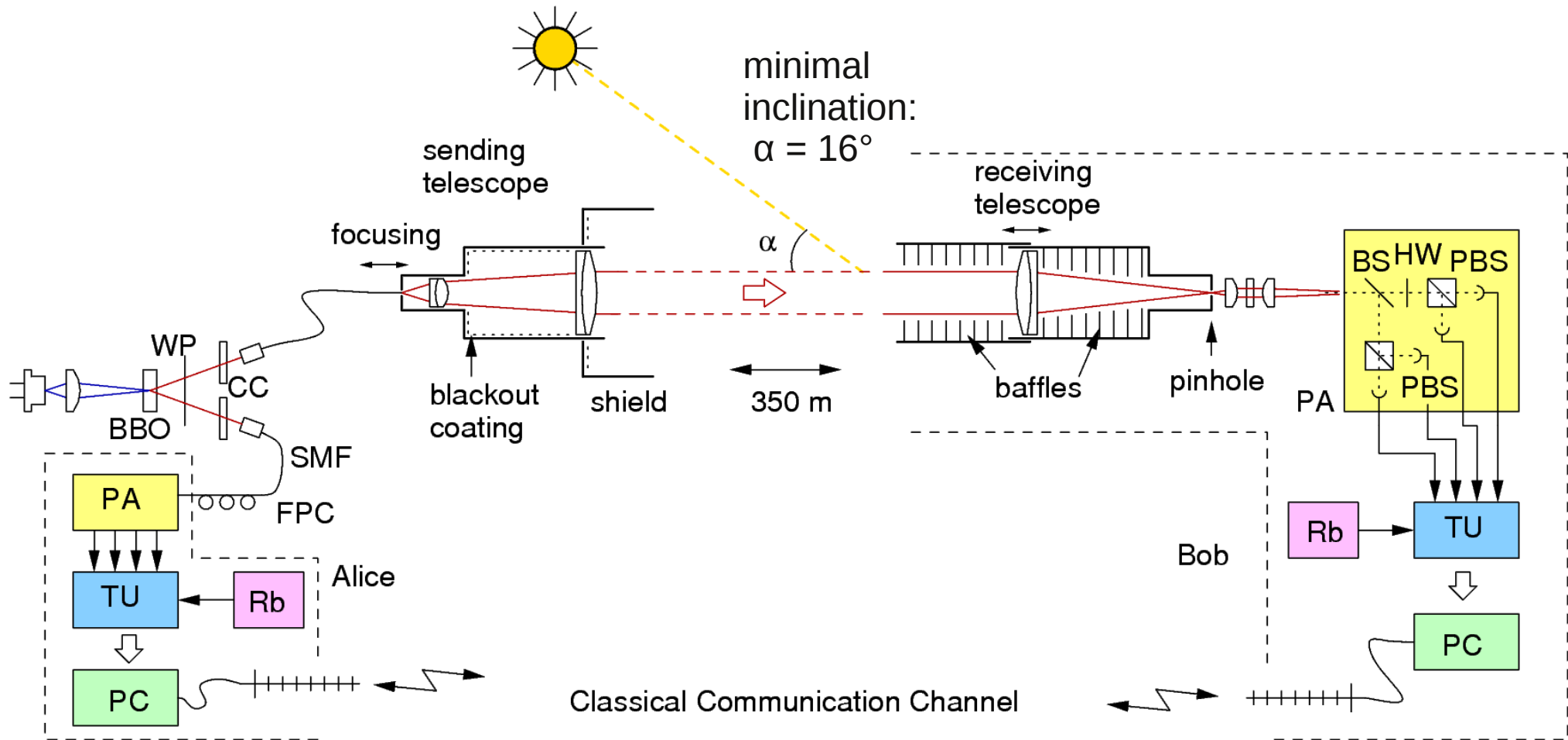


- 24,000 s<sup>-1</sup> detected pairs from 40 mW pump @ 407nm in single mode fibers, 24 % pair/single ratio (2mm BBO)
- polarization correlation visibility in 45° basis: 92%
- optical bandwidth 6.5 nm FWHM around 810nm / 818 nm
- small footprint, works in outdoor conditions

# Our reference QKD system

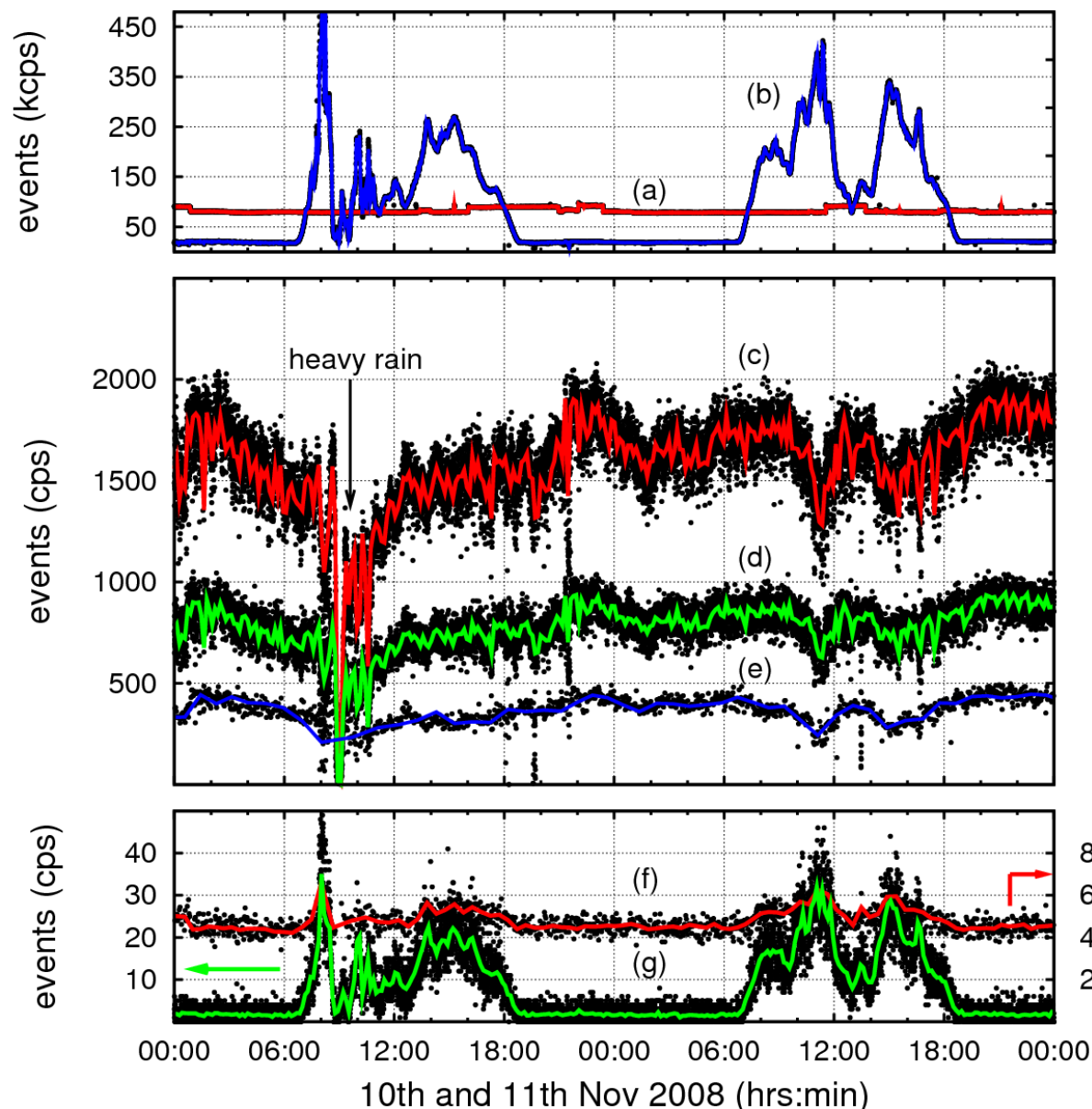


free space link, works even in daylight



- polarization encoding, cw pair source, wavelength  $810 \pm 3 \text{ nm}$   
timestamping photoevents

# Typical performance



Detector events  
@ receiver

"Alice" detector  
events

identified  
coincidences

raw key

final key  
(after EC/PA)

QBER (%)

- optical BW:  
6.7 nm FWHM

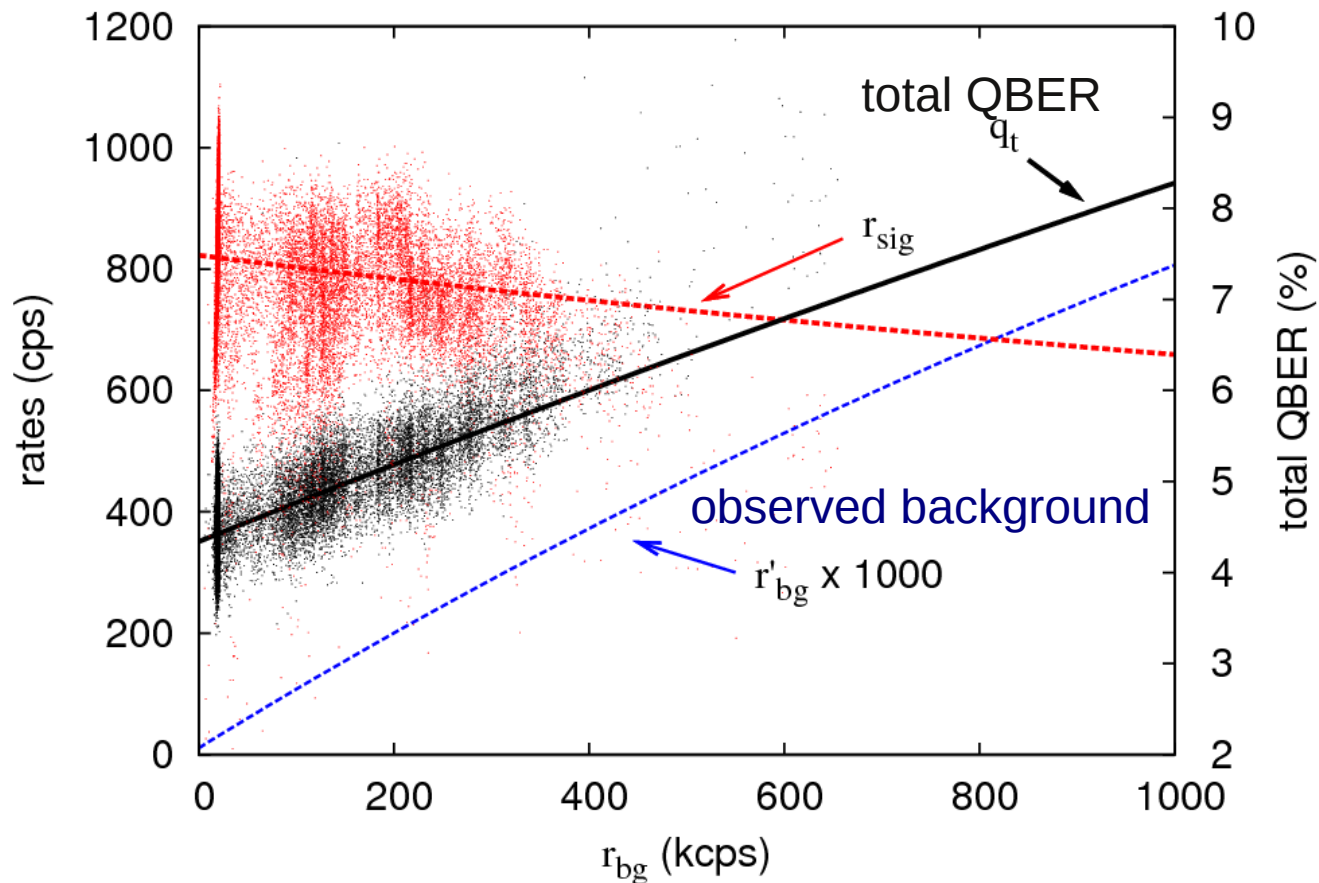
- coincidence  
time 2 ns

- receiver  
telescope:  
100  $\mu$ rad

- continuous  
operation  
over 4 days



## Detector saturation and QBER



Background rate (uncorrected for detector saturation)

- main limit is detector saturation, not QBER due to accidental coincidences
- similar for high bit rate systems



# Field usage, open source



## PDC pair source & sender



- System gets simpler and more robust, low power consumption (<65W)

## receiving side



- Software is open source (GPLv2): <http://code.google.com/p/qcrypto>

Open hardware under way

# *Various practical attacks...*

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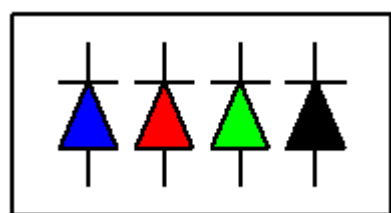


- Too large Hilbert space in practical BB84 - not only multi-photon problems
- Leaking of timing information in classical communication
- Active detector attack

# *BB84: Spectral backdoor*

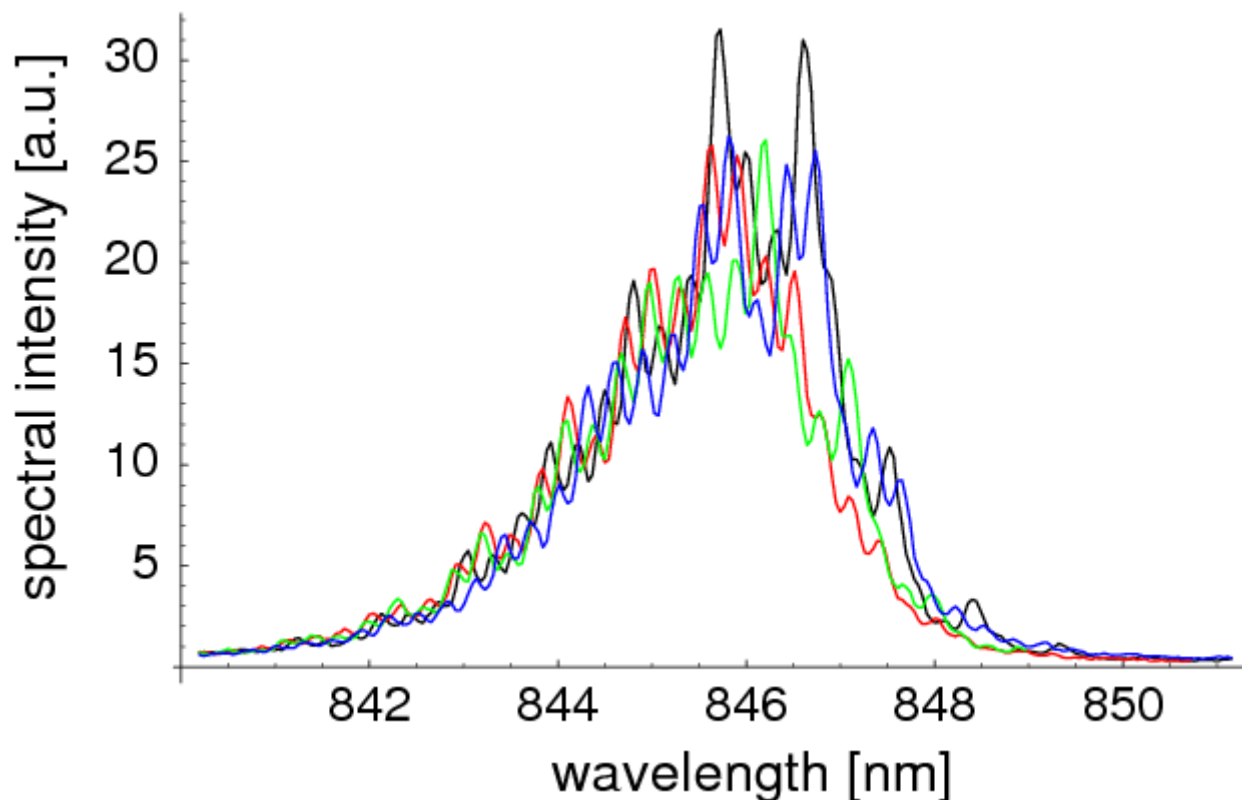


Don't measure polarization, but e.g. color:  
The Hilbert Space in your system is larger than it appears



H V - +

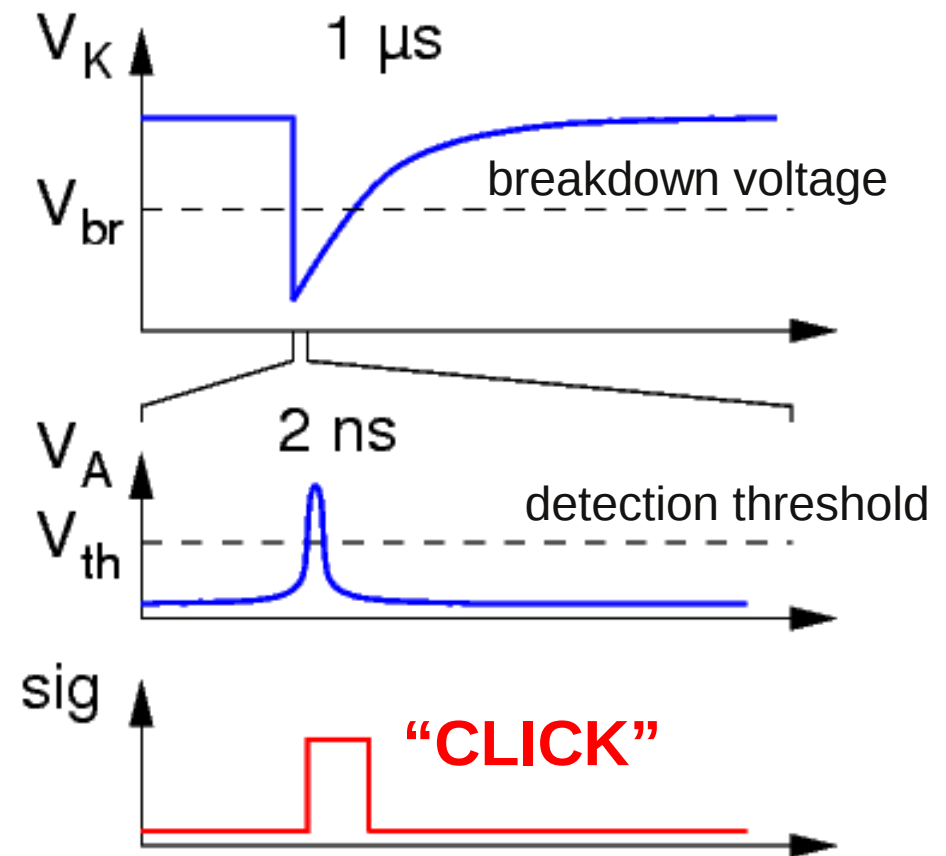
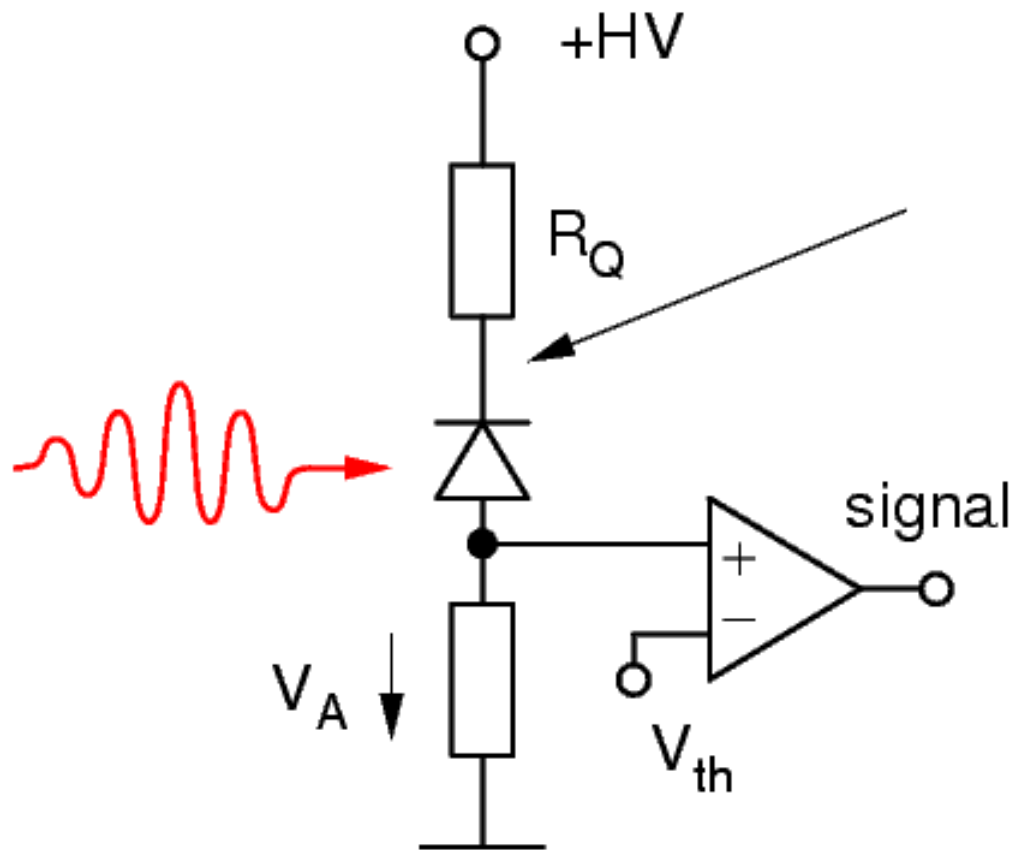
asymptotic  
average  
information  
leakage: <2%



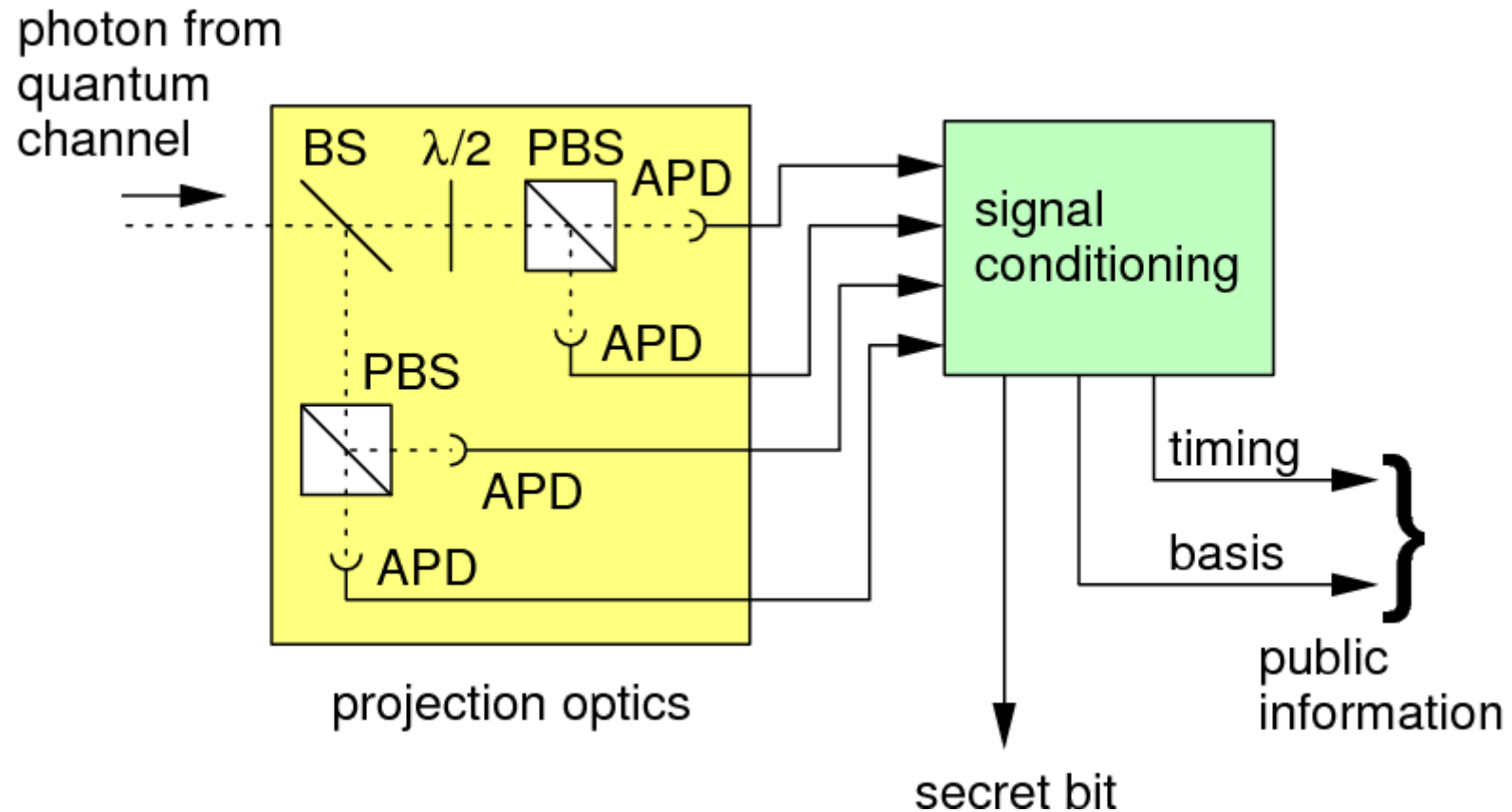
# Basic photodiode operation



Avalanche photodiodes (APD) are common “single photon” detectors



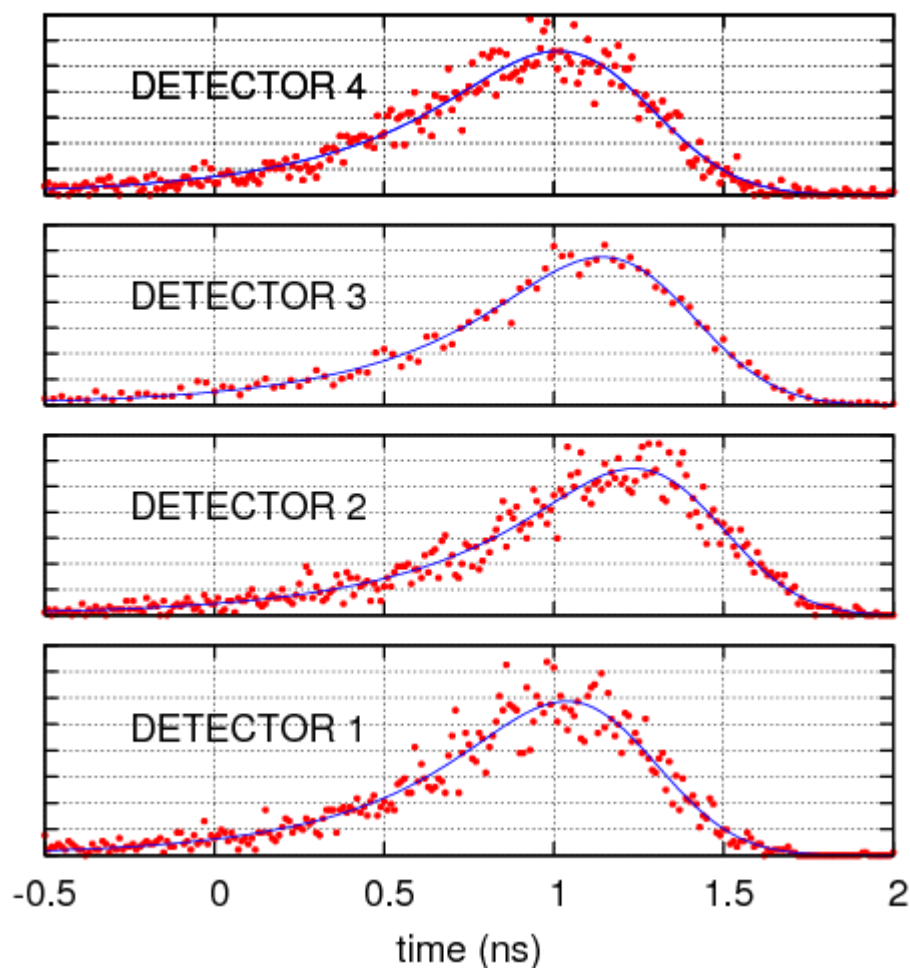
# Timing channel attack I



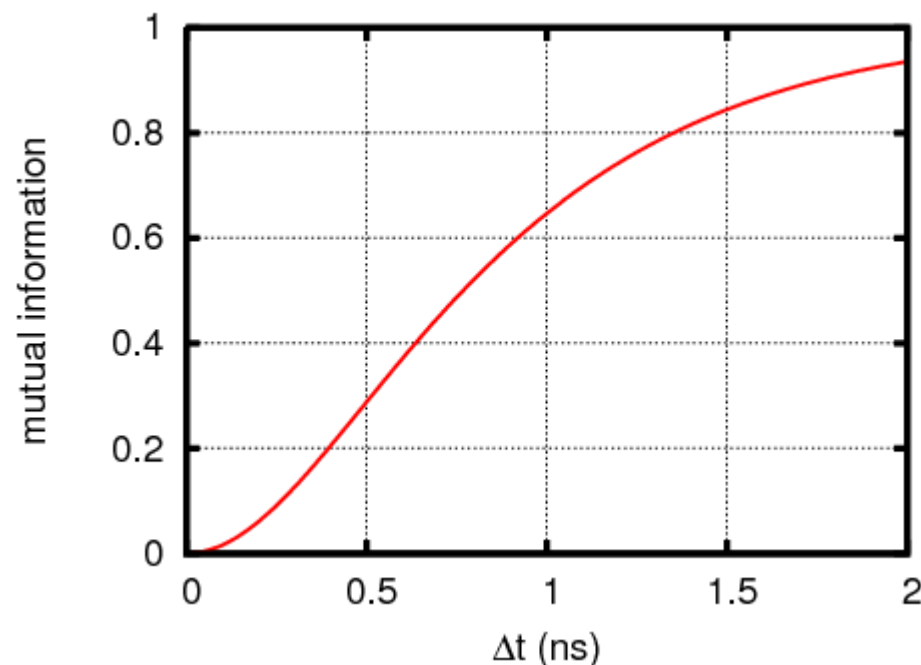
# Timing channel attack II



Classical timing information carries fingerprint of detectors:



small detector imbalances may tell Eve a lot!



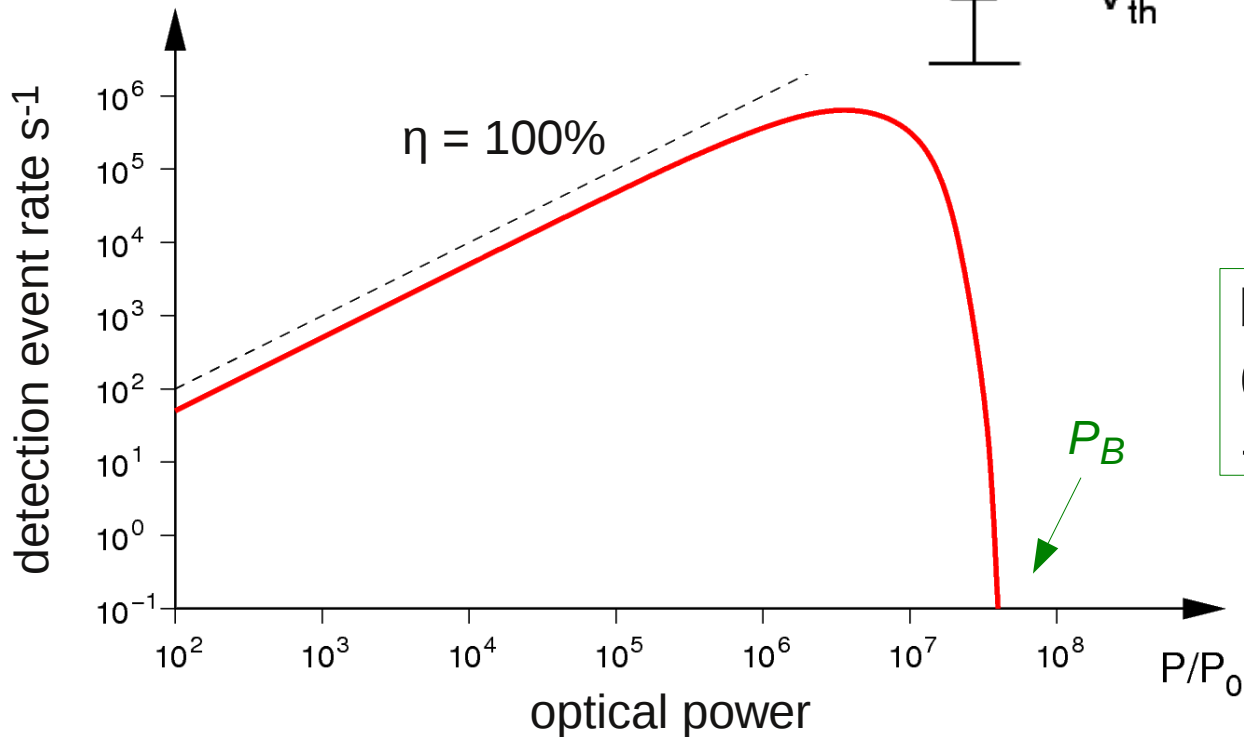
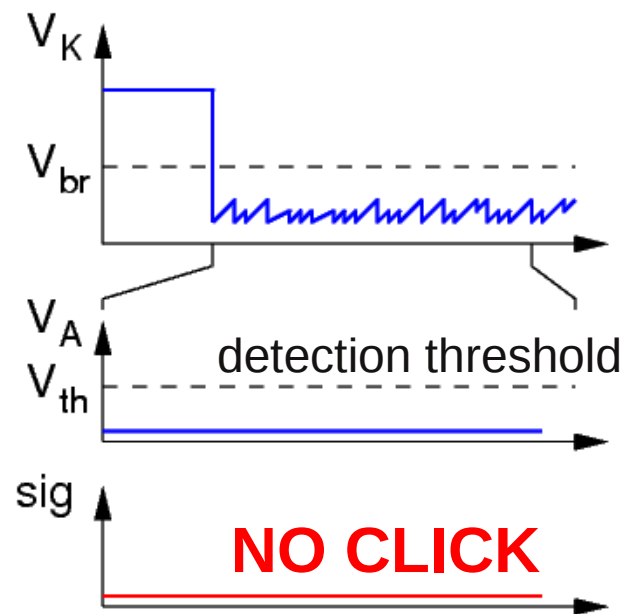
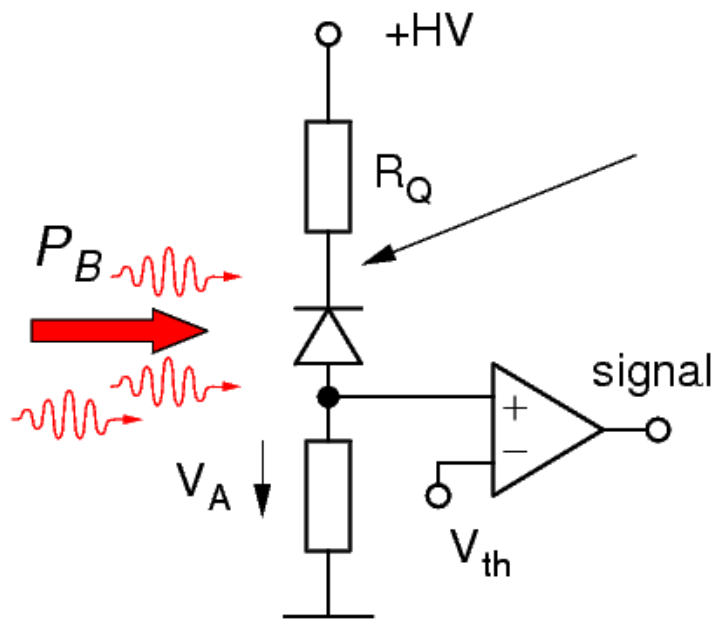


# APD detector vulnerability I



## Basic Problem:

APD saturate and can be blinded



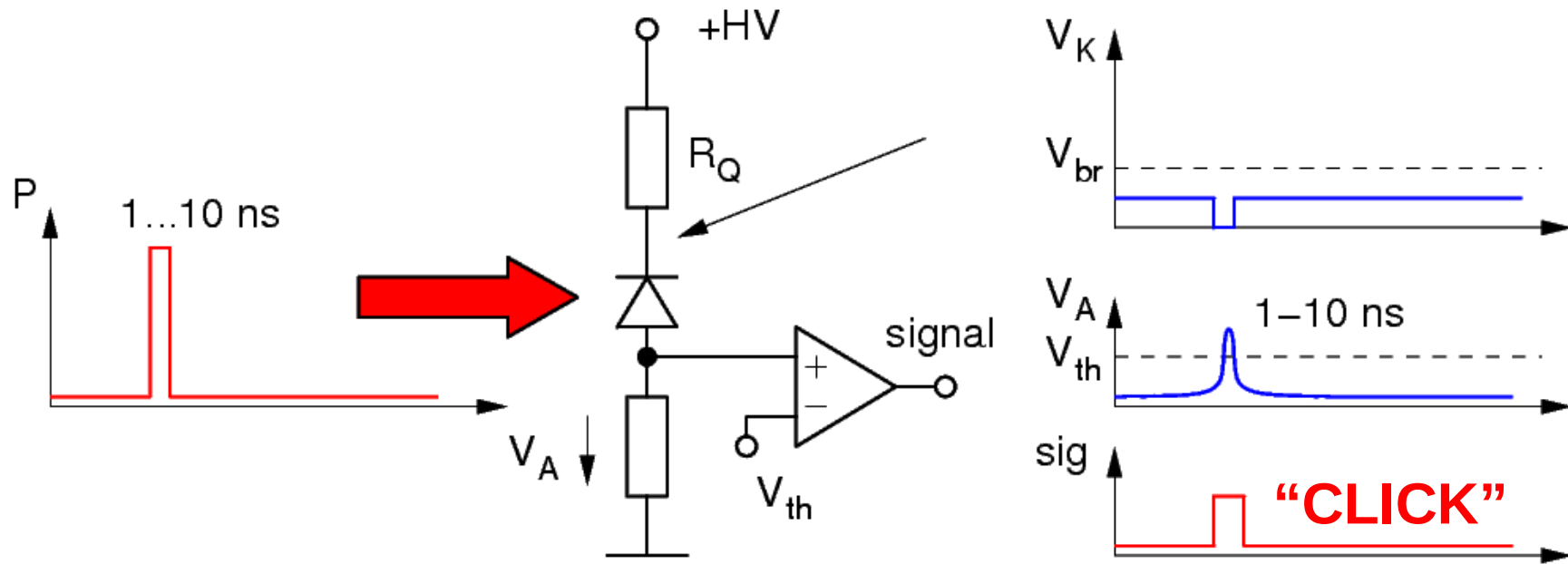
blinding power  $P_B$ : 1..10 pW  
(corresponding to  
 $10^6$ - $10^7$  events / sec)



# APD vulnerability II



...and forced to give a signal by bright light pulses:



Avalanche diode operates in PIN / normal amplification regime

# Hijacking one detector...

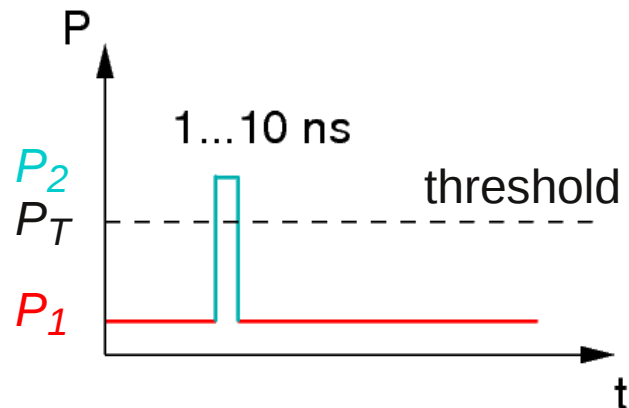


Combined to attack scheme by sending 'fake states' of classical light:



- Detector is quiet

blinding level  $P_1 > P_B$  (few pW)



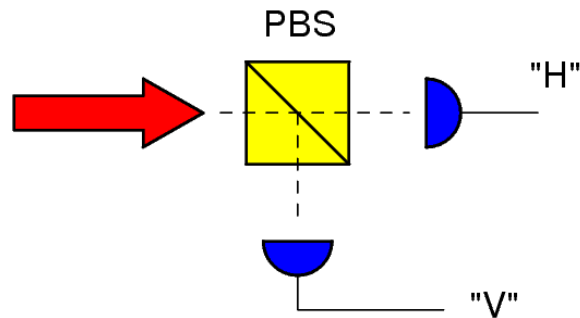
- Detector can be forced to a click at well-defined time

$P_2 > P_T$  (few mW)

# Hijacking the 'measurement'



- This works with detector pairs as well:



Choose unpolarized / circularly polarized  $P_1$  and **different linear polarizations** to fake a 'click'

**Light:**

**"H" detector:**

**"V" detector:**



$>2 P_B$

no click

no click



+

click

no click



+

no click

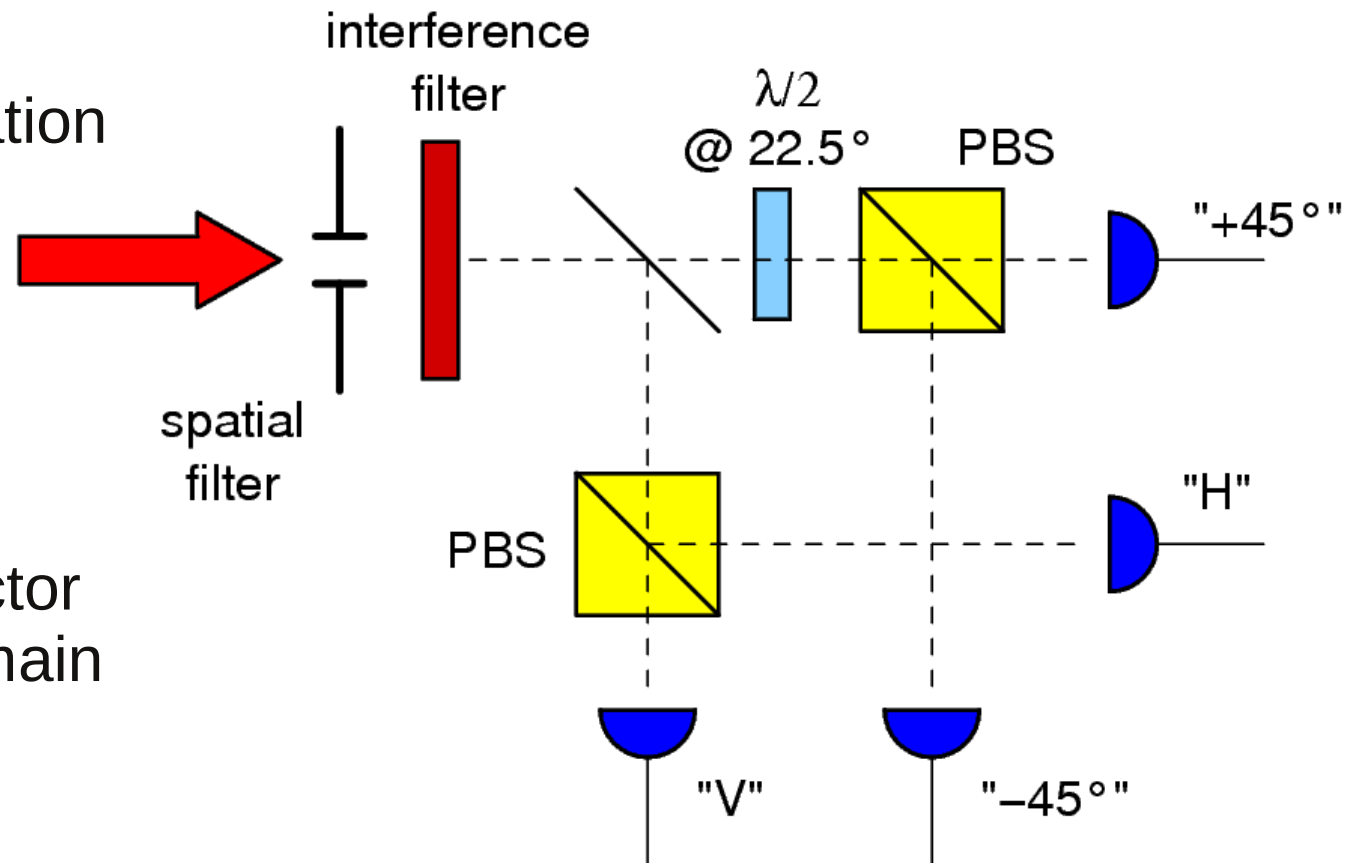
click

# Why stop at two....



## Control of a passive base choice QKD detector:

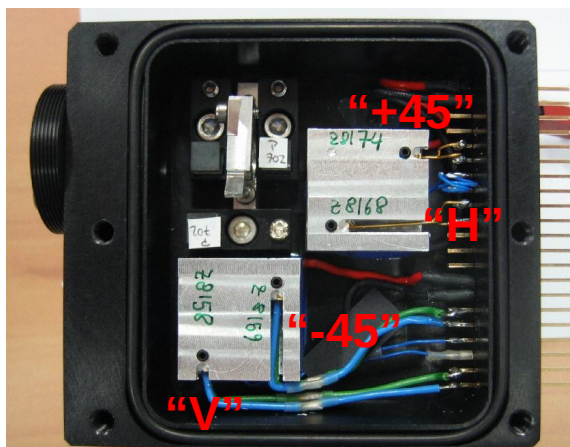
- Choose  $\sigma+$  polarization for blinding
- Choose power for each fake pulse such that one detector fires, the others remain below threshold
- Eve now has complete control over this detection scheme....



# Four detector attack






“faked state”





our polarization detector

Light:

  $>4 P_B$

 + 

 + 

“H”

“V”

“+45”

“-45”

no click

no click

no click

no click

click

no click

no click

no click

no click

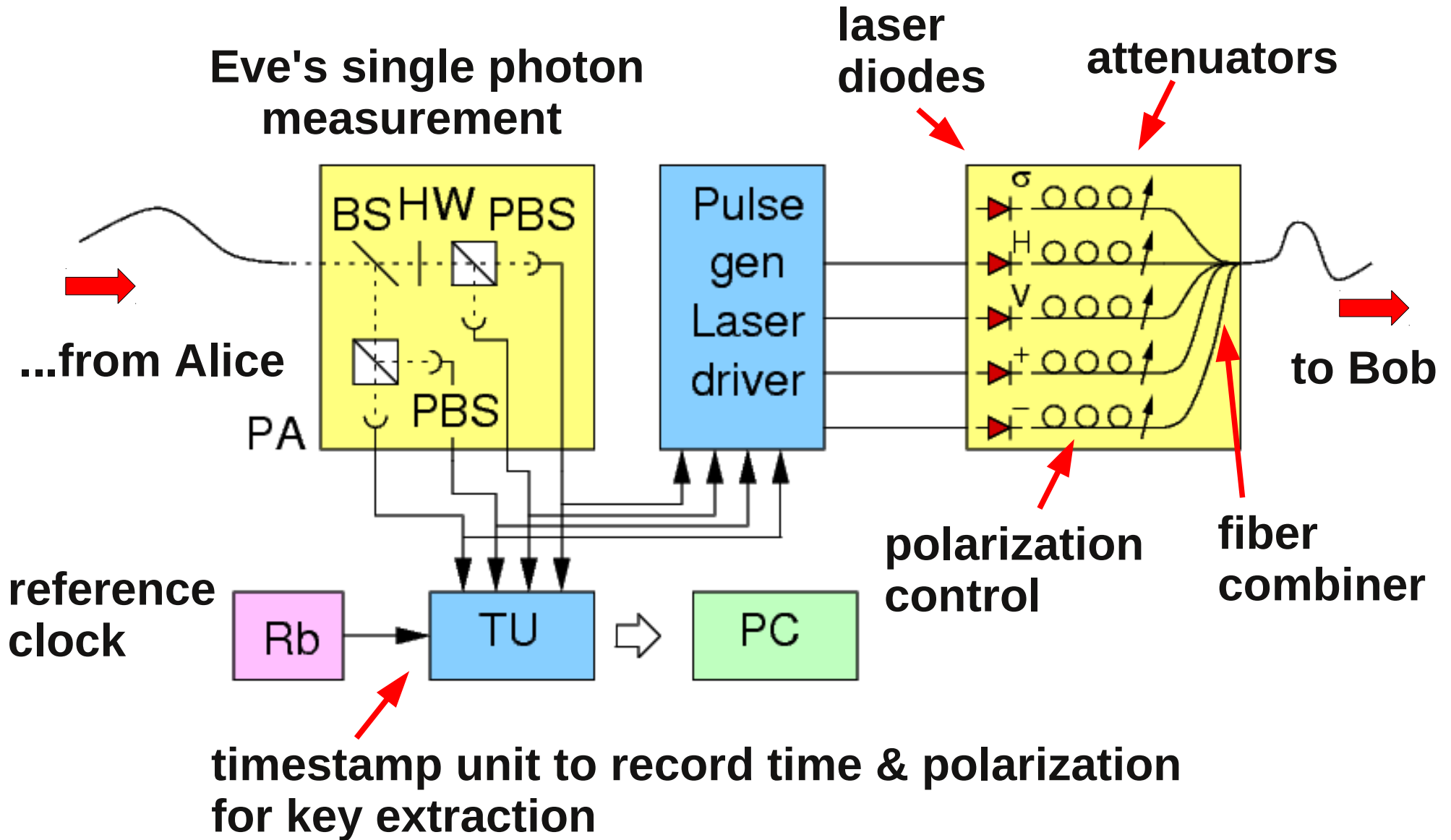
no click

click

no click

- Choose pulse amplitudes above +45 threshold, but below H/V threshold -- ideally 1-  $\sqrt{2}/2$  margin for  $P_2$

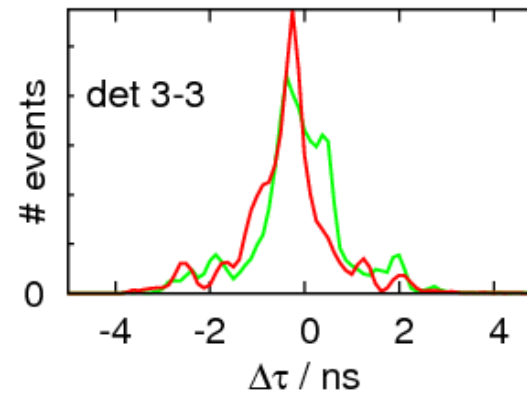
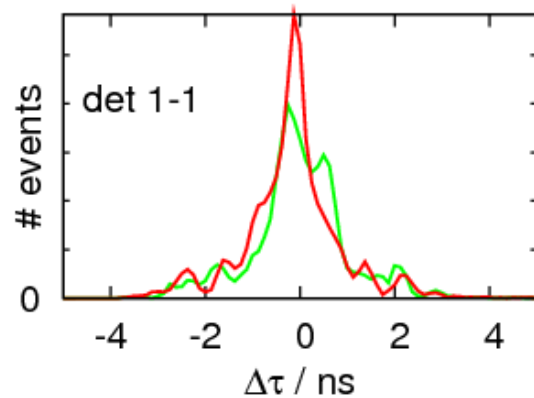
# Eve's intercept-resend kit



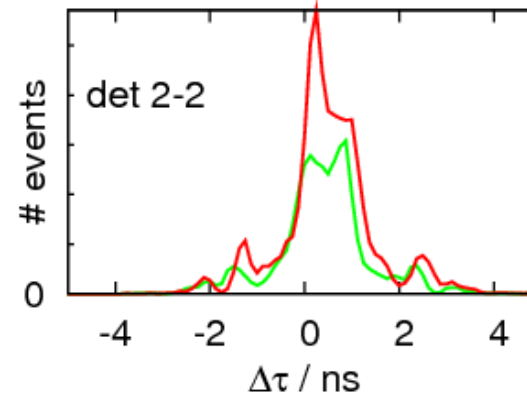
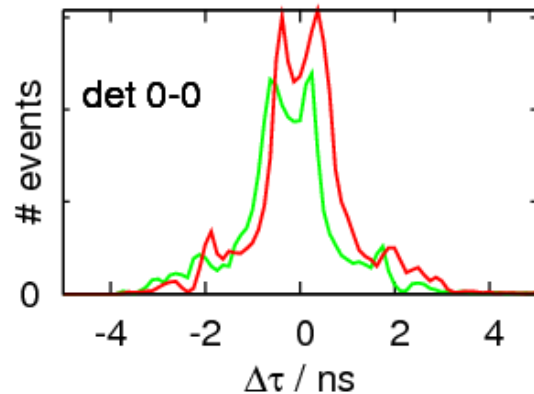
# *Eve's insertion timing*



## Coincidence timing histograms of a working system



without Eve intercept



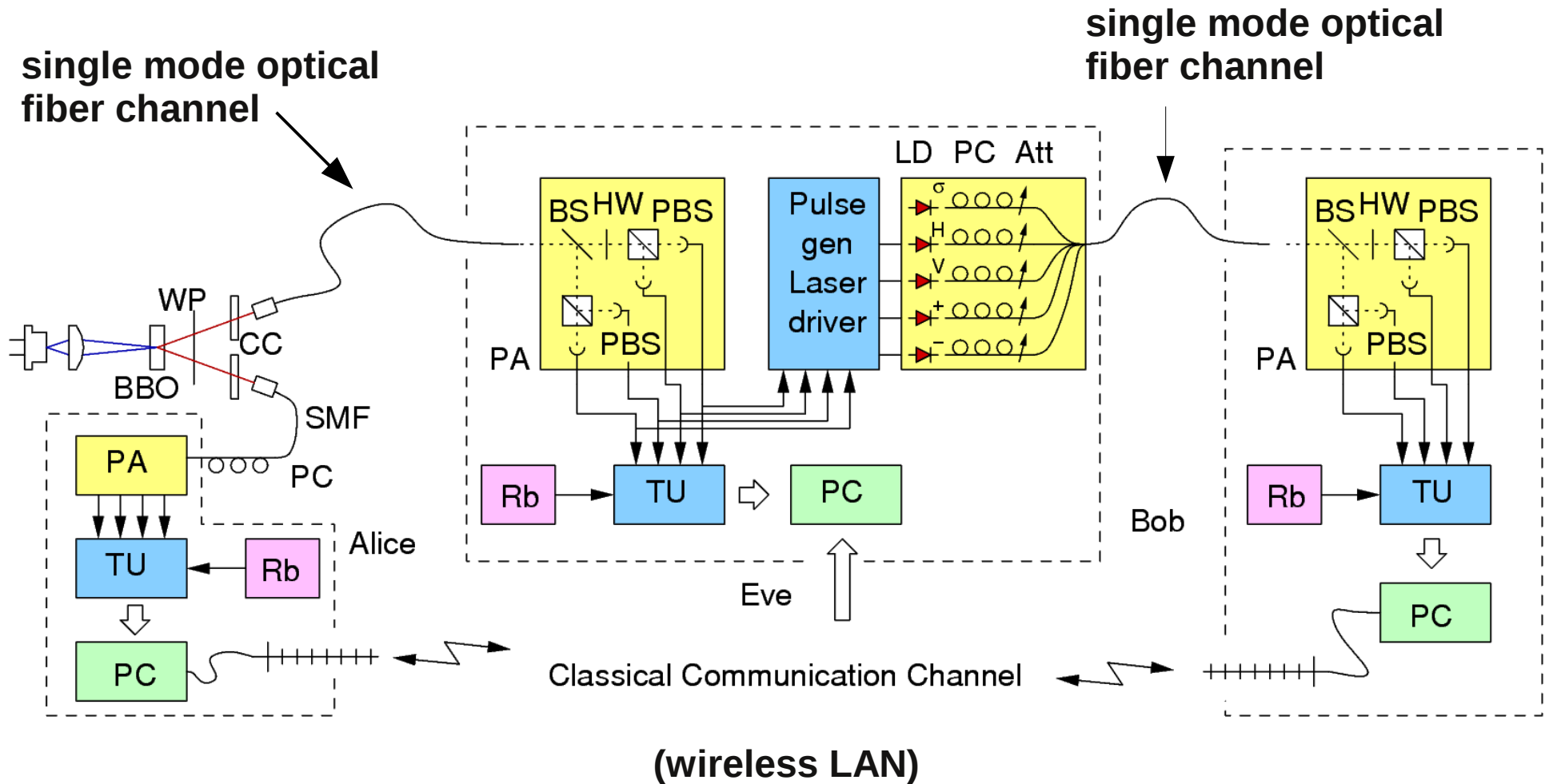
with Eve intercept

No resolvable influence on detector signal timing (<100 ps jitter)

Insertion delay ~10 nsec

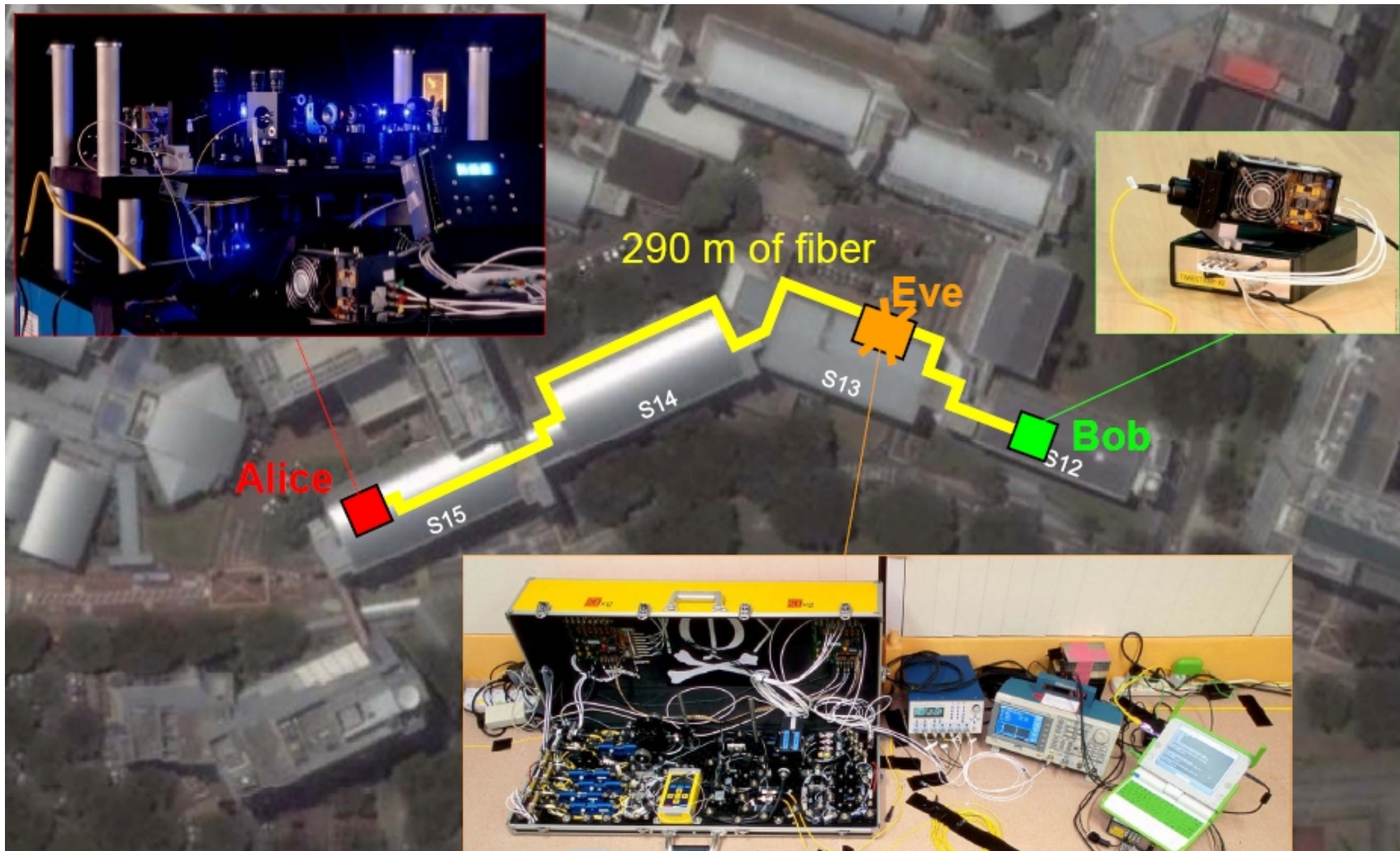


# Full intercept/resent scheme

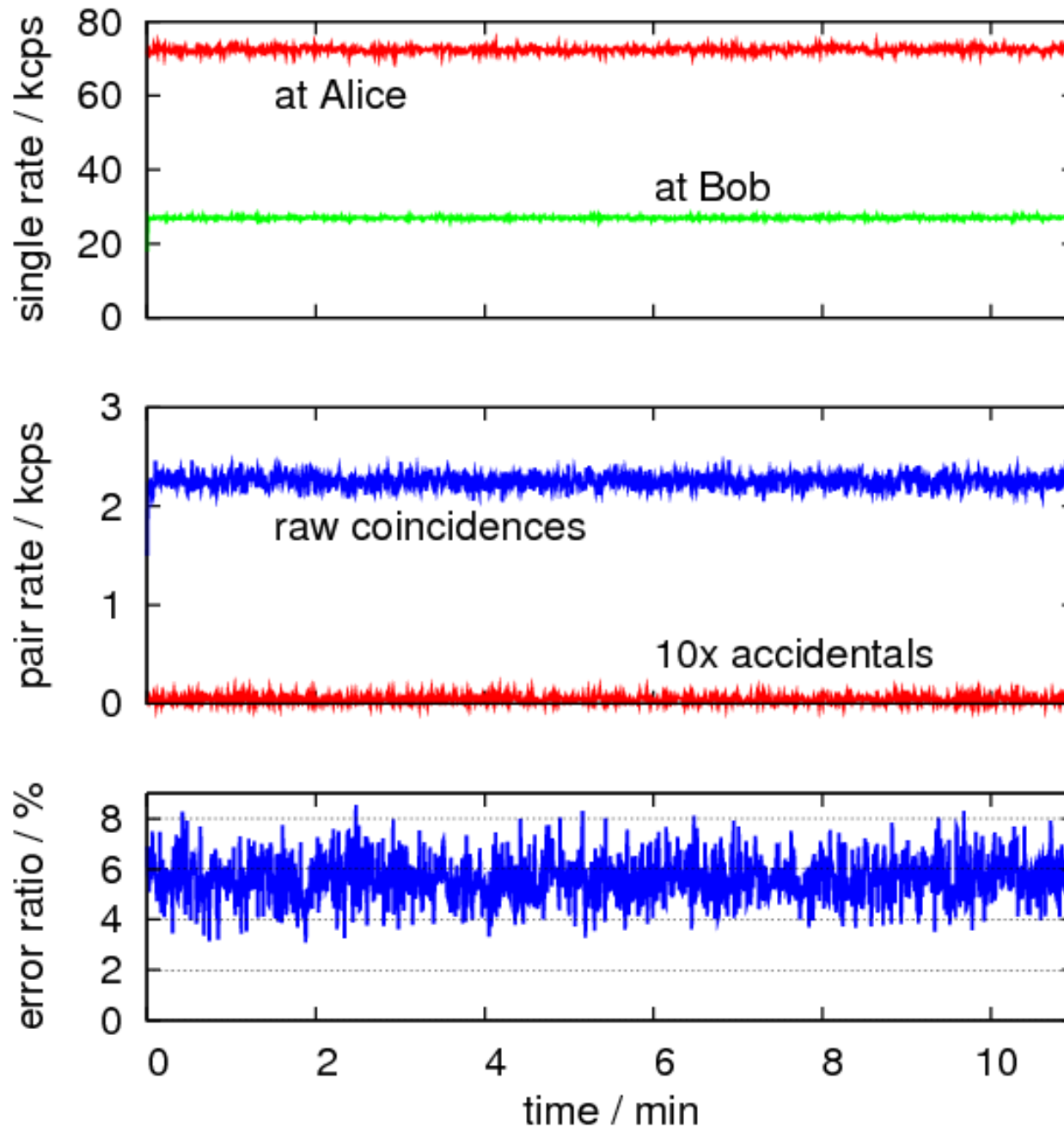


# Layout of the plot

“Realistic” fiber link across the Science faculty @ NUS



# Results for Alice & Bob

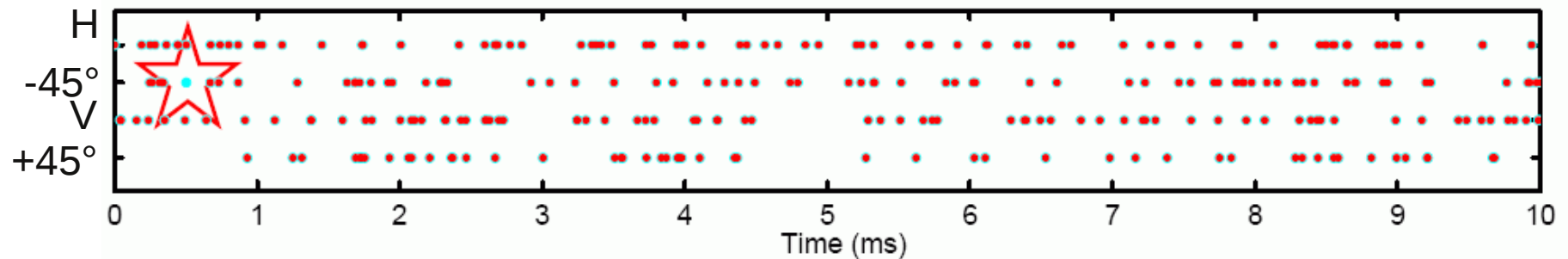


- reasonable photo detection rates on both sides (includes transmission loss)
- reasonable pair rate and raw key rate around 1.1 kcps
- no spurious pulses
- reasonable error ratio for this source allows to extract 500 bits/sec key after PA / EC

# Attack Results I



A real-time display of events between **Eve** and **Bob**:



- About 97%-99% of Eve clicks are transferred to Bob
- Eve can identify successful detections by Bob from timing information (classical channel intercept)
- Eve knows correctly identified pairs due to losses (classical channel intercept)
- Eve knows all detector outcomes of Bob

# Attack Results II

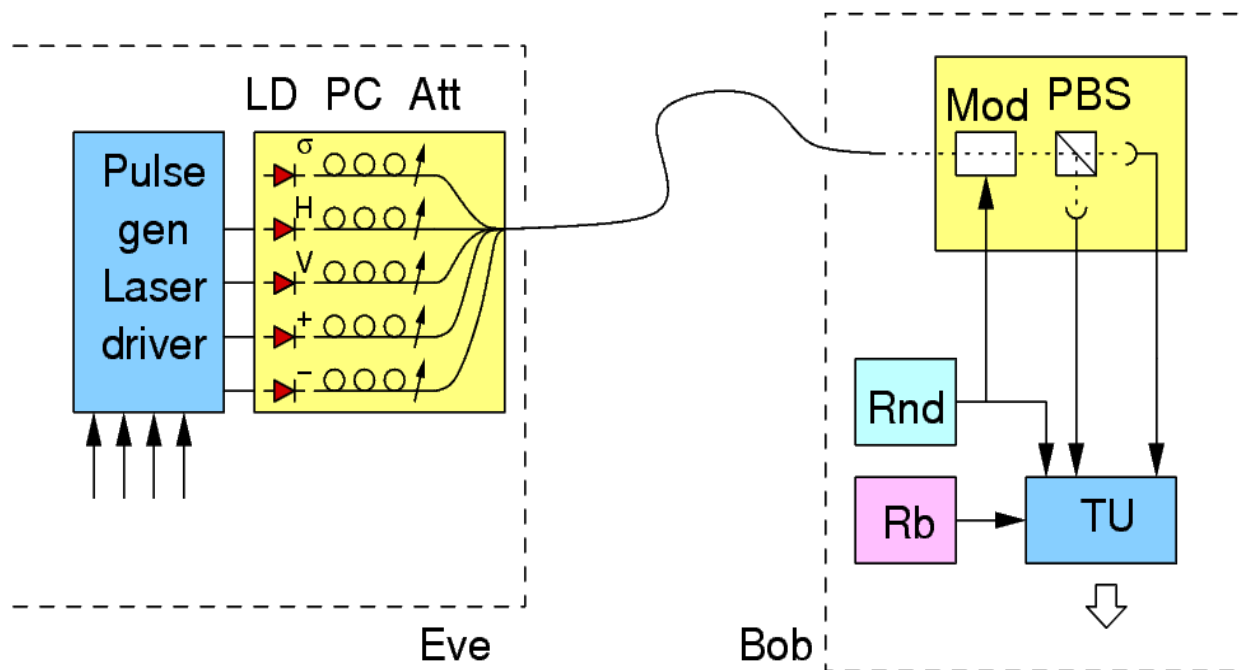


- Correlation between Eve and Bob's result (the hijacked receiver) is 100%

630,106	0	0	0
0	841,072	0	0
0	0	1,116,070	0
0	0	0	1,026,603

- Eve has Bob's **complete raw key**
- By eavesdropping the classical communication in error correction/privacy amplification, Eve can reconstruct the secret key

# Does active base choice help?



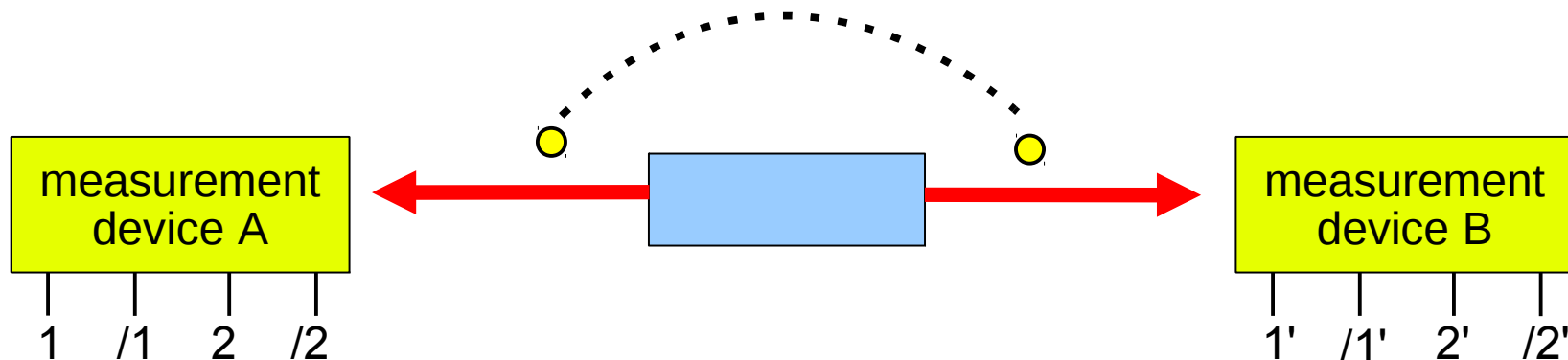
- Correlation between Eve's command and Bob results is 100%
- Bob's probability of getting Eve's base choice correct is 50%

Presence of Eve looks like 50% loss (no big help)

# Do other protocols help?



## Device-independent / Ekert-91 protocol idea



For proper settings 1, 2, 1', 2' and state  $|\Psi^-\rangle$   $S = \pm 2\sqrt{2}$

- Estimate **quantitatively** the knowledge of Eve of raw key between A and B from S:

$$I_E(S) = h\left(1 + \frac{\sqrt{S^2/4 - 1}}{2}\right)$$

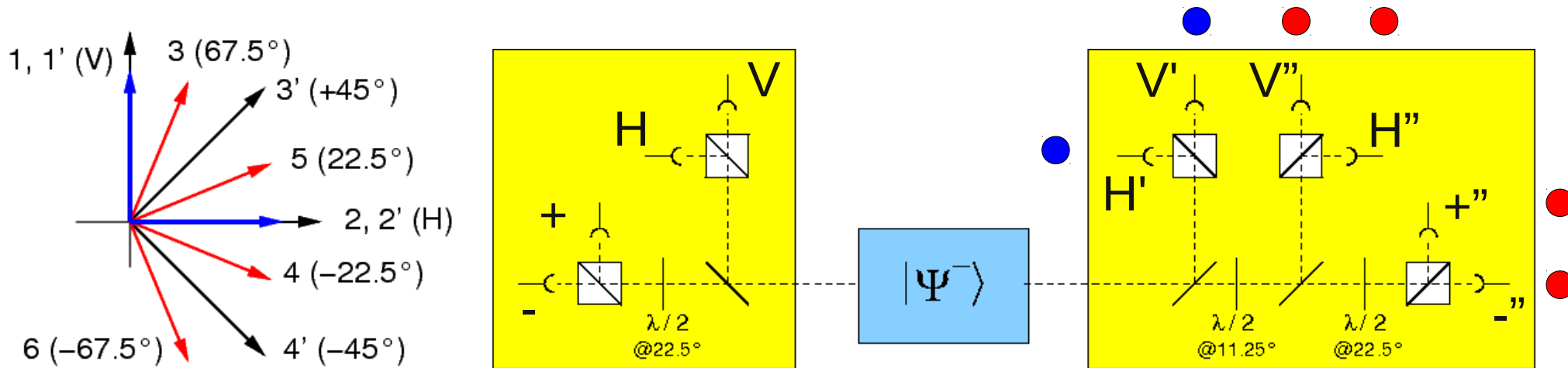
- No fingerprint problems of photons due to side channels



# Implementation (partial?)



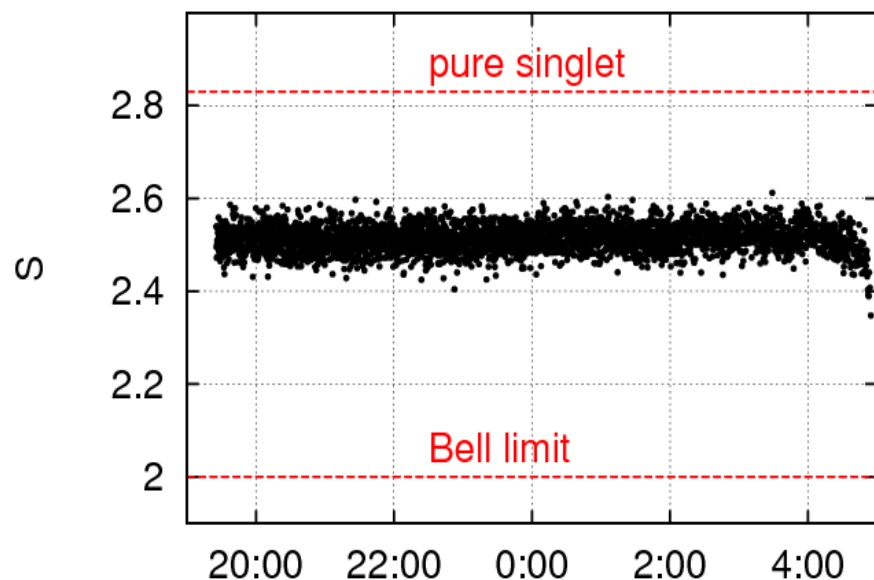
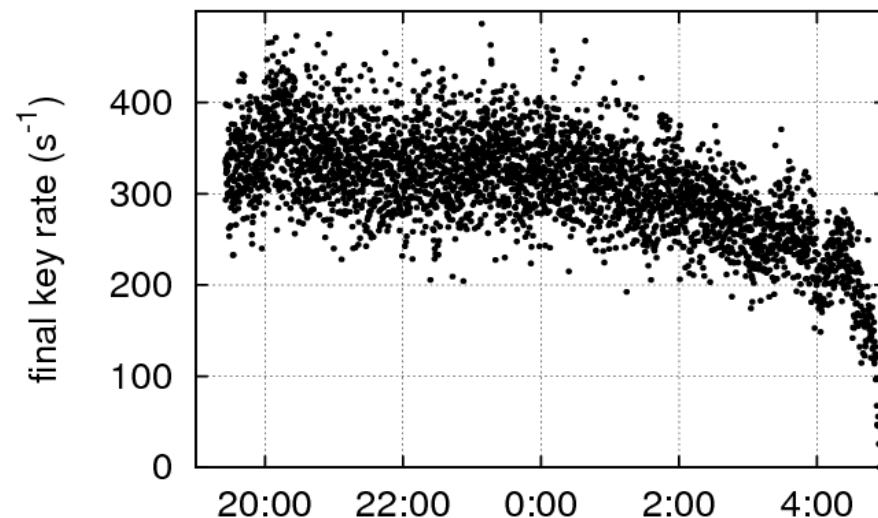
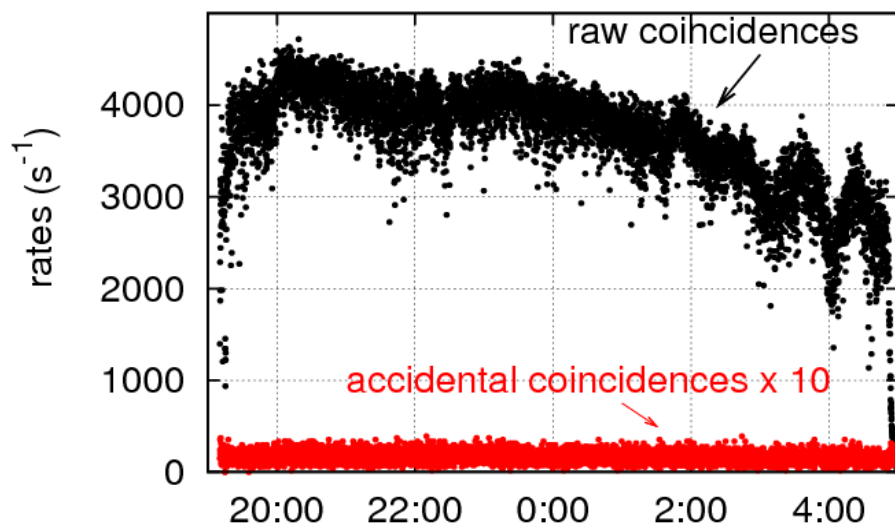
- use almost same kit:



- {H,V; H',V'} coincidences → key generation
- {H,V,+,-; H'',V'',+'',-''} coincidences → CHSH Bell test
- low QBER with existing simple source

# Practical E91 Key Generation

## Key generation results:



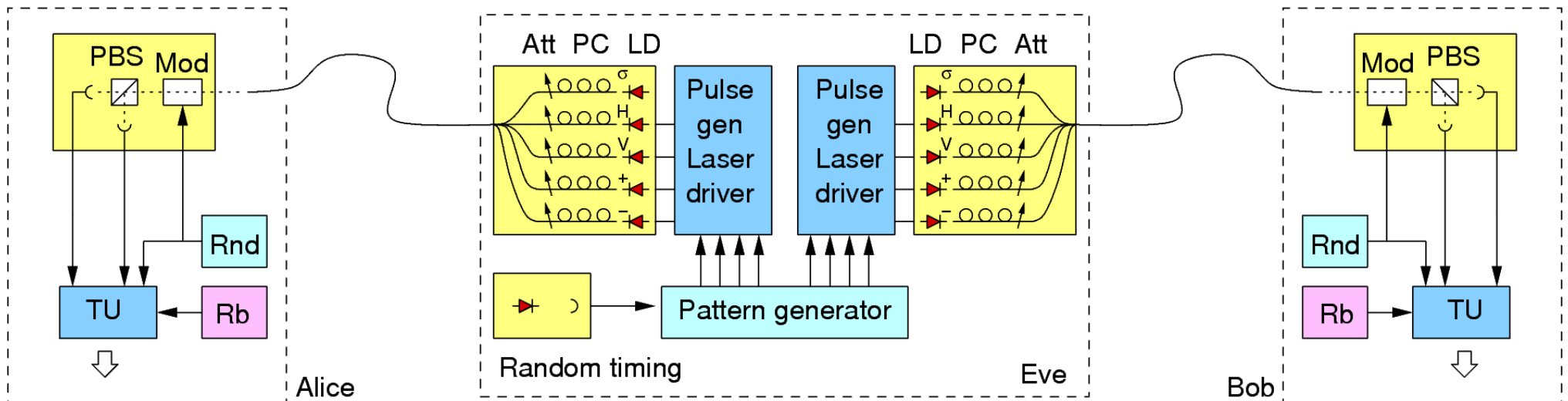
- continuous operation at night  
final key after EC/PA:  $10^7$  bits

# Faking Violation of a Bell inequality



(core part of device-independent QKD protocol)

Faked "entangled" pair source



- Alice & Bob will see "programmed" correlations in 25% of the cases (base match on both sides), rest nothing
- Alice and Bob cannot distinguish from lossy line....
- We programmed (and found) CHSH results from  $S = -4 \dots 4$  with active choice

# What is going on??



## How can device-independent break down?

- Losses in CHSH are removed by post-selecting pair observations using a **fair sampling assumption**
- Current pair sources ( $\eta = 70\%$ ) and detectors ( $\eta = 50\%$  for non-cryogenic ones)
- Eve hides behind losses of transmission line. Best guess: optical fiber and ideal ( $\eta = 100\%$ ) detectors, active base choice: At  $0.2\text{dB/km}@1550\text{nm}$ ,  $T = 25\%$  for ***dist = 30 km***
- Only very short distances possible with current detectors

# Can this be fixed ?

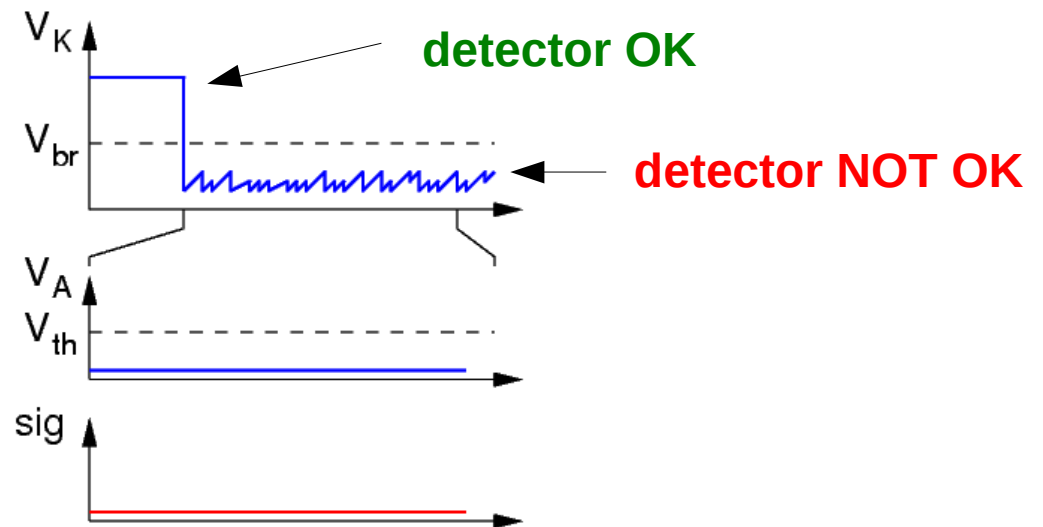
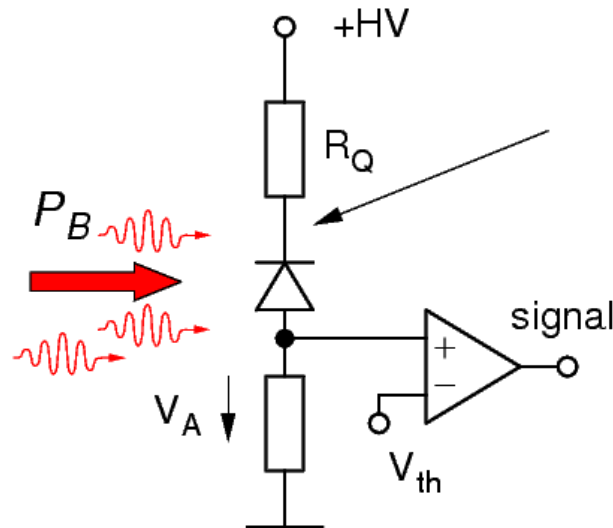


**Yes, of course.**

- Monitor total intensity with a separate, non-saturable photodetector (PIN diode)

Blinding power and bright pulses are much brighter than usual photon signal

- Monitor the state of APD's by looking at their voltage, asserting 'detector readiness'



# *Is this a “good” fix....?*



## **...of a “Bad Implementation” ??**

- Are there detectors / detector concepts which are not susceptible to such or similar attacks?
- Do we have other practical attacks?
- Will all practical implementations always be potentially bad implementations of a theoretically secure protocol?
- Let's leave Hilbert space and have independent challenge/assessments of security claims
- What do we offer in comparison to classical key exchange devices like tamper-safe devices? Is QKD just an elegant version of such a device?



# *Thank You!*



## **Team members NTNU Trondheim**

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## **Team members CQT Singapore**

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Valerio Scarani

C.K.

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<http://www.qolah.org>

## **CQT Graduate program:**

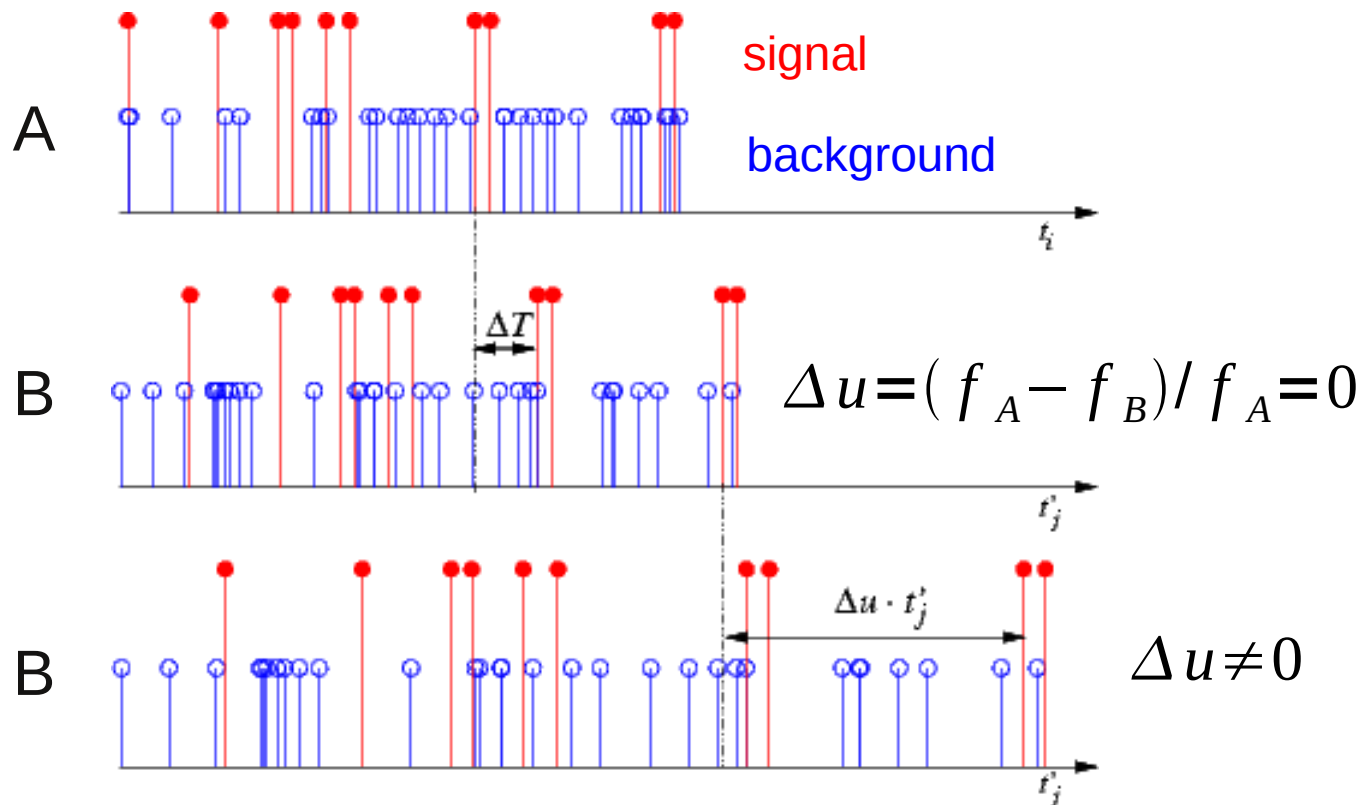
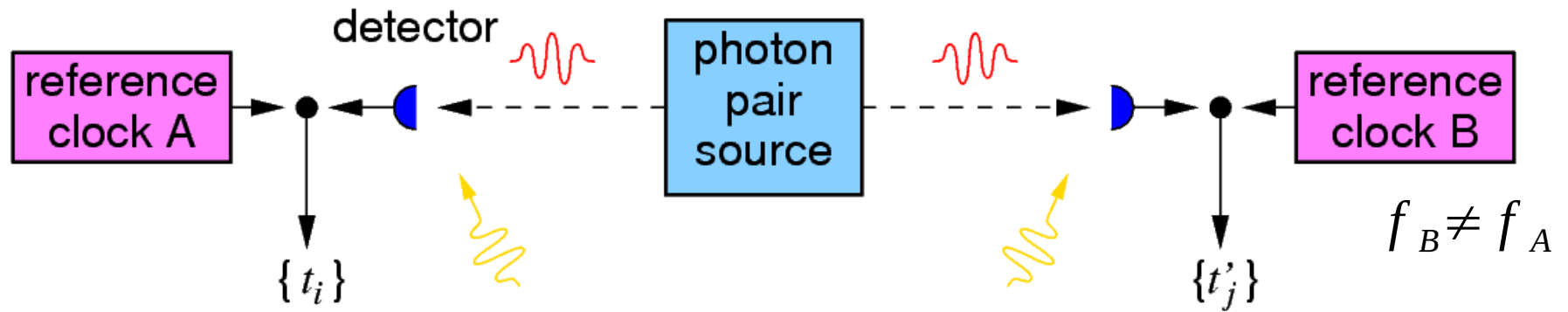
<http://cqtphd.quantumlah.org>



# Clock synchronization I



No dedicated hardware, use correlations in SPDC



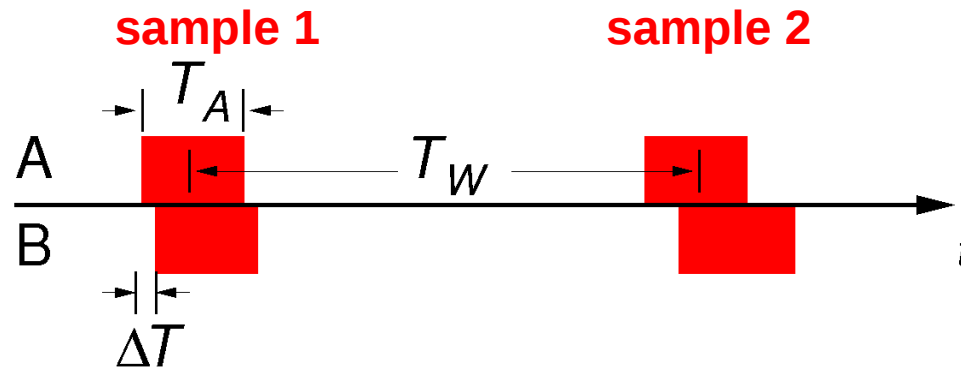
- find  $\Delta T$  to  $10^{-9}$  accuracy via tiered CCF

- $\Delta u$  and  $\Delta T$  unknown

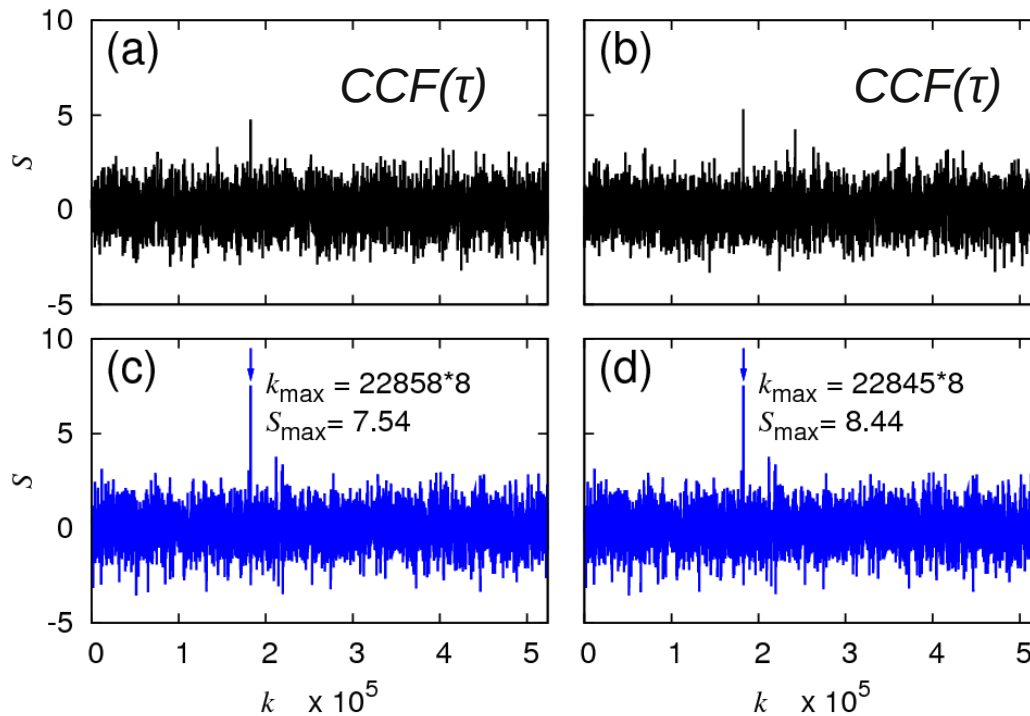
# Clock synchronization II



- Step 1: Find “coarse” time difference in short interval via peak in cross-correlation function



sample detection events over two short periods 1 and 2



find timing difference  $\Delta T$  in both intervals with coarse timing resolution  $\delta T$

typical values:

$$\Delta T_A = 250 \text{ ms}$$

$$\delta T = 2 \dots 20 \text{ } \mu\text{s}$$

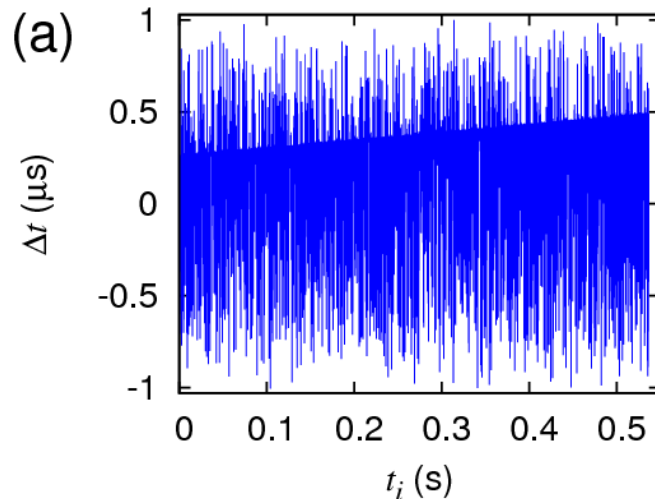
$$\text{need } \delta T = 2 \text{ ns}$$

# Clock synchronization III

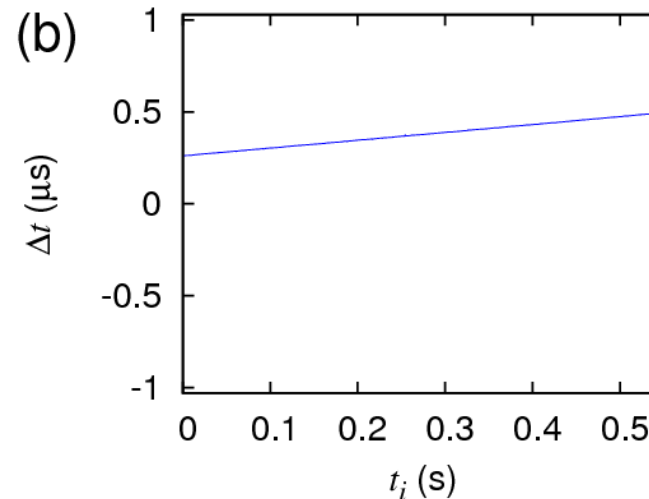


- Step 2: Follow short timing differences in large intervals  $\delta t$

Take time differences  $\Delta t$  of pairs in time intervals  $\delta T$ ...



....and remove neighbors with too different  $\Delta t$



- Step 3: Extract fine time offset part  $\Delta T$  and relative frequency difference  $\Delta u$  from residual difference distribution

Works for  $\delta T/\Delta T = 10^{-9}$ ,  $\Delta u = 10^{-4}$ , up to  $\text{Sig}/\text{BG} = 1/100$

# Very gory details



open code under GPL:  
<http://code.google.com/p/qcrypto/>

