

Oracularization and 2-Prover 1-Round Interactive Proofs against Nonlocal Strategies

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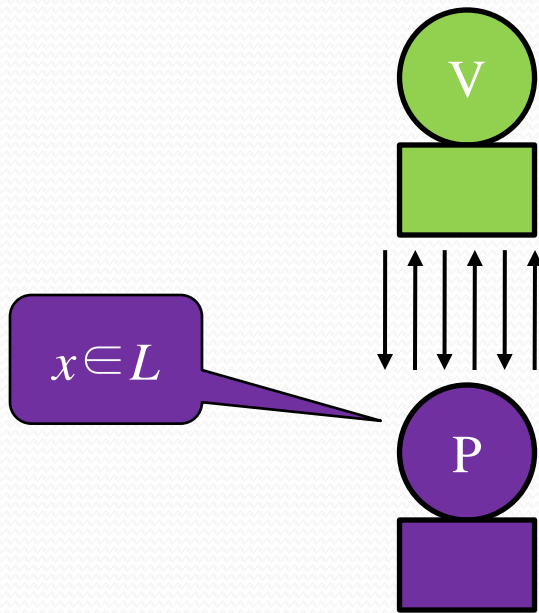
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Interactive proof

[Babai 1985] [Goldwasser, Micali, Rackoff 1989]



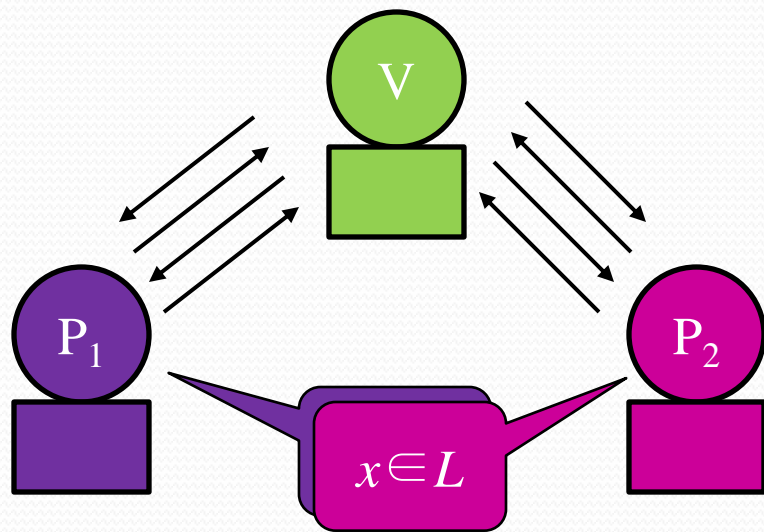
Verifier: randomized poly-time

- $x \in L \Rightarrow$ prob. of acceptance must be $\geq c$
- $x \notin L \Rightarrow$ prob. of acceptance must be $\leq s$

Prover: infinitely powerful computationally

IP=PSPACE [Shamir 1992]

Multi-prover interactive proof (MIP) [Ben-Or, Goldwasser, Kilian, Wigderson 1988]



2 or more provers are kept separated

Provers together try to convince V

V can “cross-check” the provers’ answers

MIP=NEXP [Babai, Fortnow, Lund 1991]

Note: Shared randomness between provers does *not* change the computational power

Computational power of MIP

[Feige, Lovász 1992]

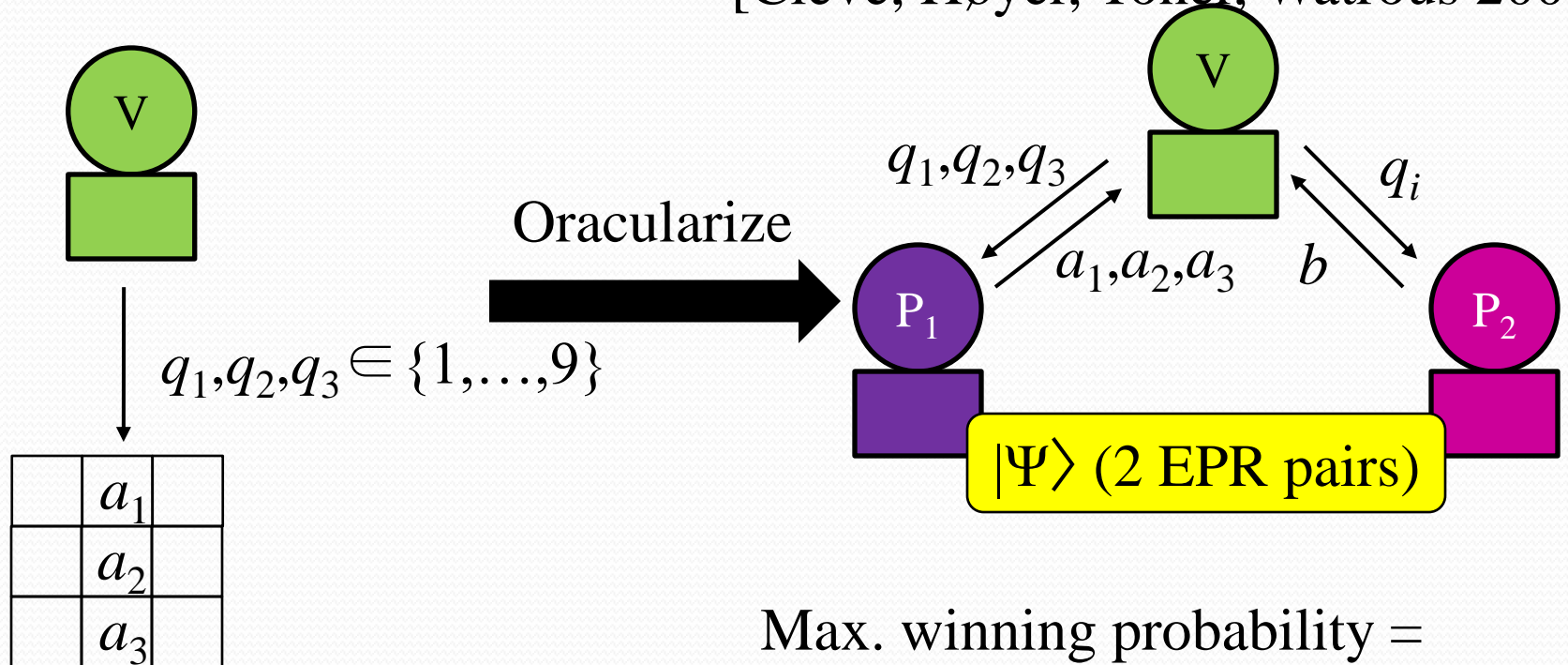
$$\text{NEXP} \stackrel{=}{=} \text{MIP with} \begin{array}{l} \bullet \text{ Poly provers} \\ \bullet \text{ Poly rounds} \\ \bullet \text{ Bounded 2-sided error} \end{array} \stackrel{=}{=} \text{MIP with} \begin{array}{l} \bullet \text{ 2 provers} \\ \bullet \text{ 1 rounds} \\ \bullet \text{ Exp-small 1-sided error} \end{array}$$

Oracularization technique:

Poly-prover poly-round (with some restriction) \rightarrow 2-prover 1-round

Quantum nonlocality

Magic Square game [Avavind 2002]
[Cleve, Høyer, Toner, Watrous 2004]



- Each column has odd parity
- Each row has even parity

Max. winning probability =
17/18 in the classical world
1 using prior-entanglement

Effect of quantum nonlocality on MIP

Entanglement gives provers more power

- Honest provers use nonlocality
→ The power of MIP might increase
- Dishonest provers also use nonlocality
→ Existing MIP protocols become unsound

?????

$$\text{MIP}^* \stackrel{?}{=} \text{MIP} = \text{NEXP}$$

Related results about MIP in quantum world (1)

$\oplus\text{MIP}(2,1)$, $\oplus\text{MIP}^*(2,1)$:

2 provers, 1 round, 1-bit answer,
verifier only look at the XOR of the answers

- With some constant 2-sided error,

$$\oplus\text{MIP}^*(2,1) \subseteq \text{EXP} \subsetneq \text{NEXP} = \oplus\text{MIP}(2,1)$$

(unless $\text{EXP}=\text{NEXP}$)

[Cleve, Høyer, Toner, Watrous 2004]

Entanglement makes the class smaller!

- $\text{NP} \subseteq \oplus\text{MIP}^*(2,1)$ with constant 2-sided error

[Cleve, Gavinsky, Jain 2007]

Related results about MIP in quantum world (2)

- Trivially, $MIP^* \supseteq IP = PSPACE$
- [Kempe, Kobayashi, Matsumoto, Toner, Vidick 2008]:
 - $PSPACE \subseteq MIP^*$ with
2 provers, 1 round, $1 - 1/\text{poly}$ soundness error
 - $NEXP \subseteq MIP^*$ with
3 provers, 1 round, $1 - 1/\text{exp}$ soundness error
 - $NEXP \subseteq QMIP$ (quantum messages) with
2 provers, 1 round, $1 - 1/\text{exp}$ soundness error
- $NEXP \subseteq MIP^*$ with
3 provers, 1 round, $1 - 1/\text{exp}$ soundness error, 1-bit answer
[Ito, Kobayashi, Preda, Sun, Yao 2008]

Related results about MIP in quantum world (3)

- [Ben-Or, Hassidim, Pilpel 2008]:
NEXP has 2-prover 2-round protocol
with constant soundness
in new model with
 - Quantum interaction
 - Classical communication between provers
 - Without prior-entanglement

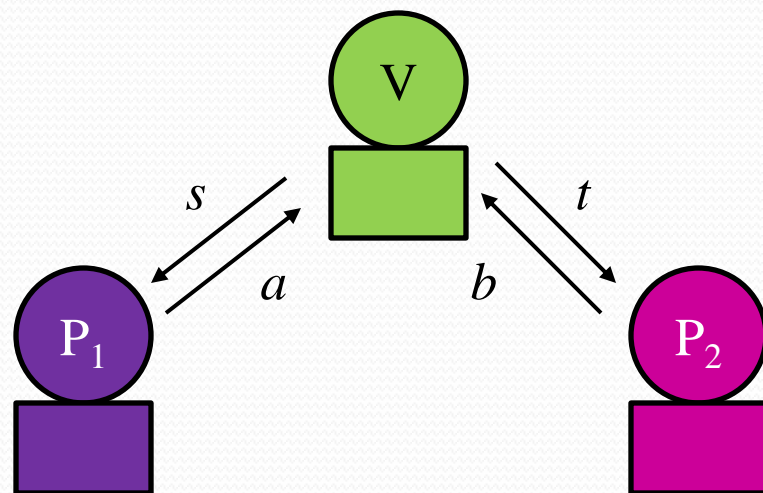
Our results

- $\text{PSPACE} \subseteq \text{MIP}^*$
with 2 provers, 1 round, **exp-small** 1-sided error
 - 2 provers are more useful than 1, even with entanglement
 - Soundness holds for more powerful **no-signaling** provers
- $\text{NEXP} \subseteq \text{MIP}^*$
with **2** provers, 1 round, $1 - 1/\text{exp}$ 1-sided error
- Limitation of independent sampling:
Known 2-prover protocols for NEXP
really has error probability $1 - 1/\text{exp}$ in some cases

No-signaling provers

$p(a,b|s,t)$ is called **no-signaling** when

- $p(a,b|s,t) \geq 0$
- $\sum_{a,b} p(a,b|s,t) = 1$
- $\sum_a p(a,b|s,t)$ does not depend on s
- $\sum_b p(a,b|s,t)$ does not depend on t

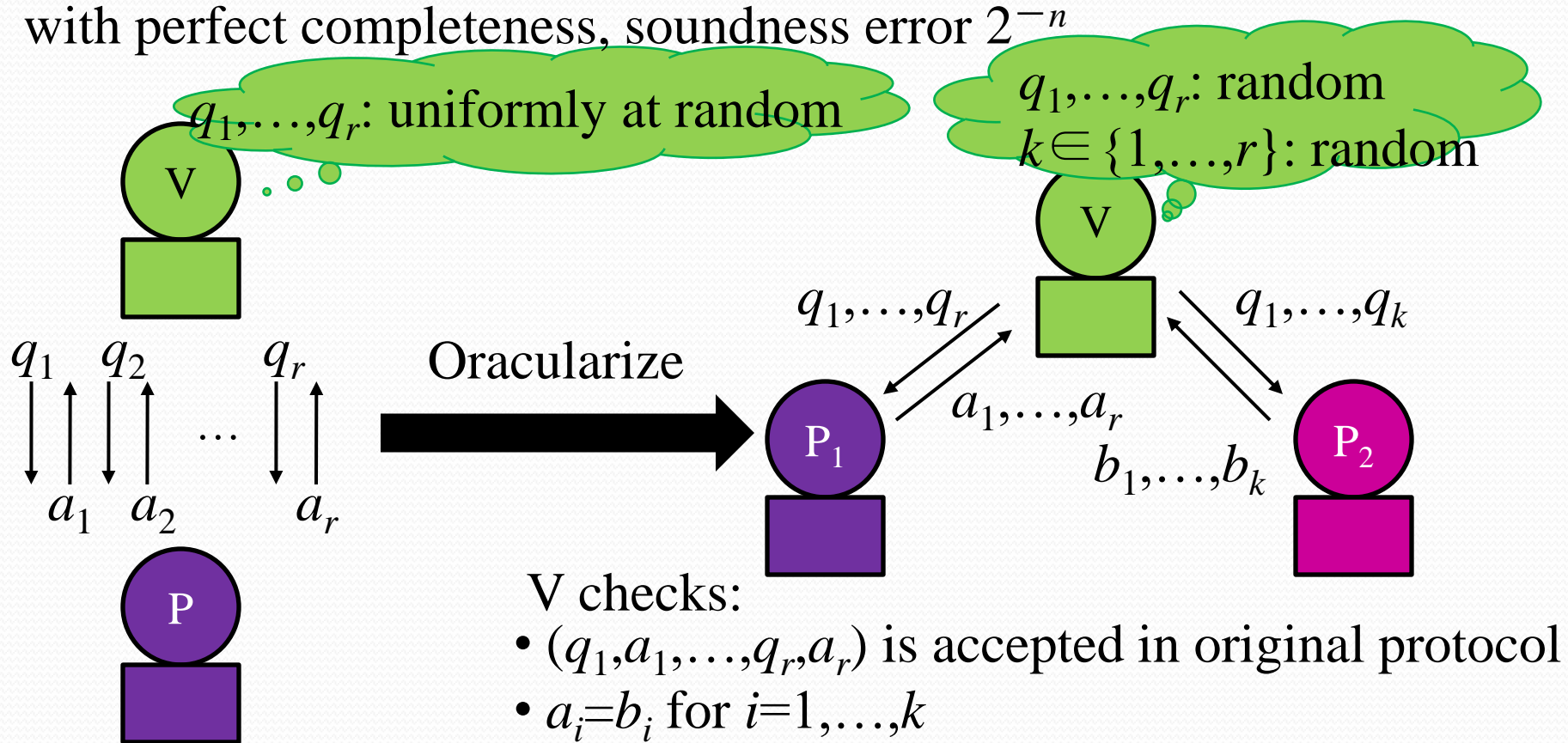


Unentangled provers \subseteq Entangled provers \subseteq No-signaling provers

MIP with no-signaling provers \subseteq EXP [Preda]

Protocol for PSPACE by [KKMTV08]

Public-coin 1-prover r -round protocol for PSPACE ($r = \text{poly}(n)$)
with perfect completeness, soundness error 2^{-n}

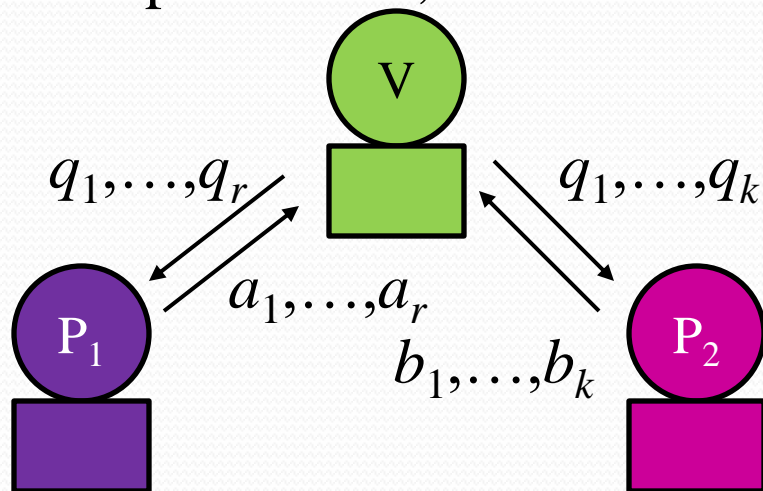


[KKMTV] proved $1 - 1/O(r^2)$ soundness error against entangled provers

We prove $1 - 1/O(r)$ soundness error against **no-signaling** provers

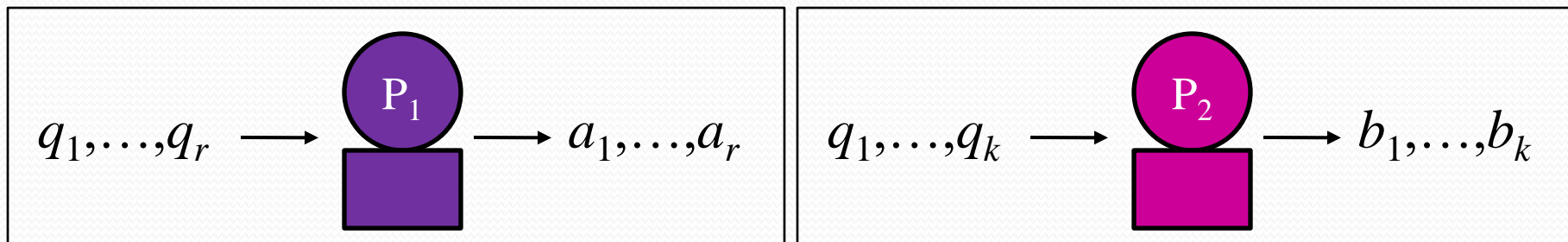
Analysis of soundness (1)

Suppose: P_1 and P_2 have a no-signaling strategy to convince V with prob. $1 - \varepsilon$, with small ε

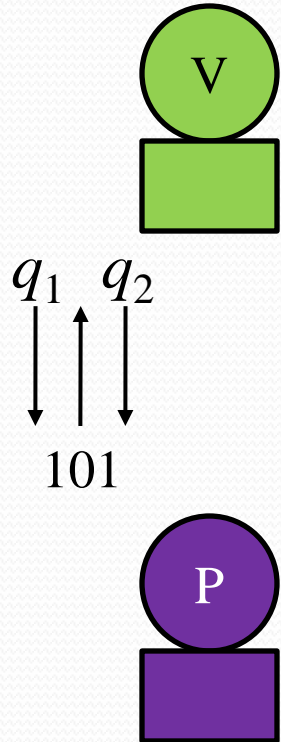


$p(a_1, \dots, a_r; b_1, \dots, b_k \mid q_1, \dots, q_r; q_1, \dots, q_k)$ no-signaling

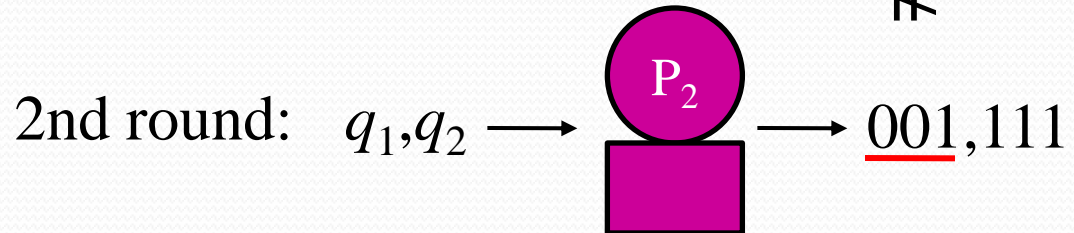
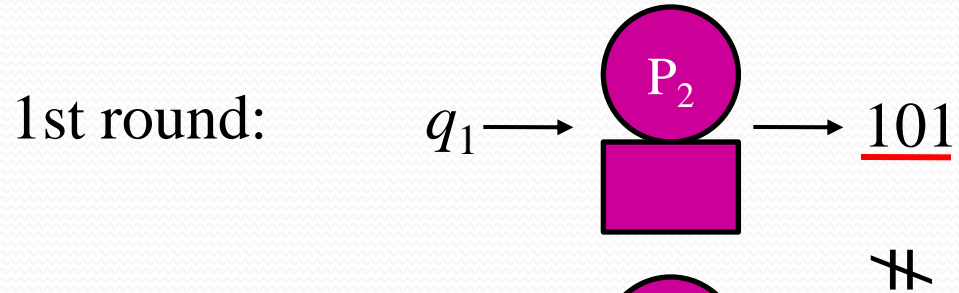
$\Rightarrow p_1(a_1, \dots, a_r \mid q_1, \dots, q_r)$ and $p_2(b_1, \dots, b_k \mid q_1, \dots, q_k)$ are well-defined



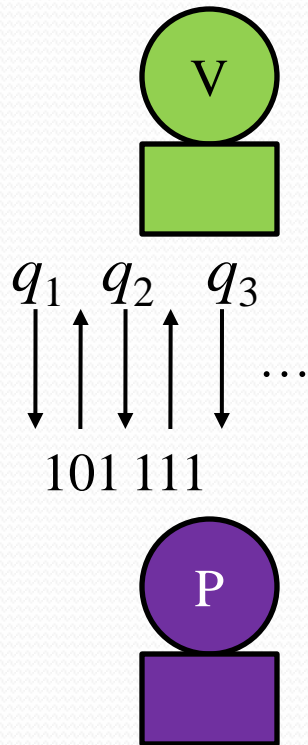
Analysis of soundness (2)



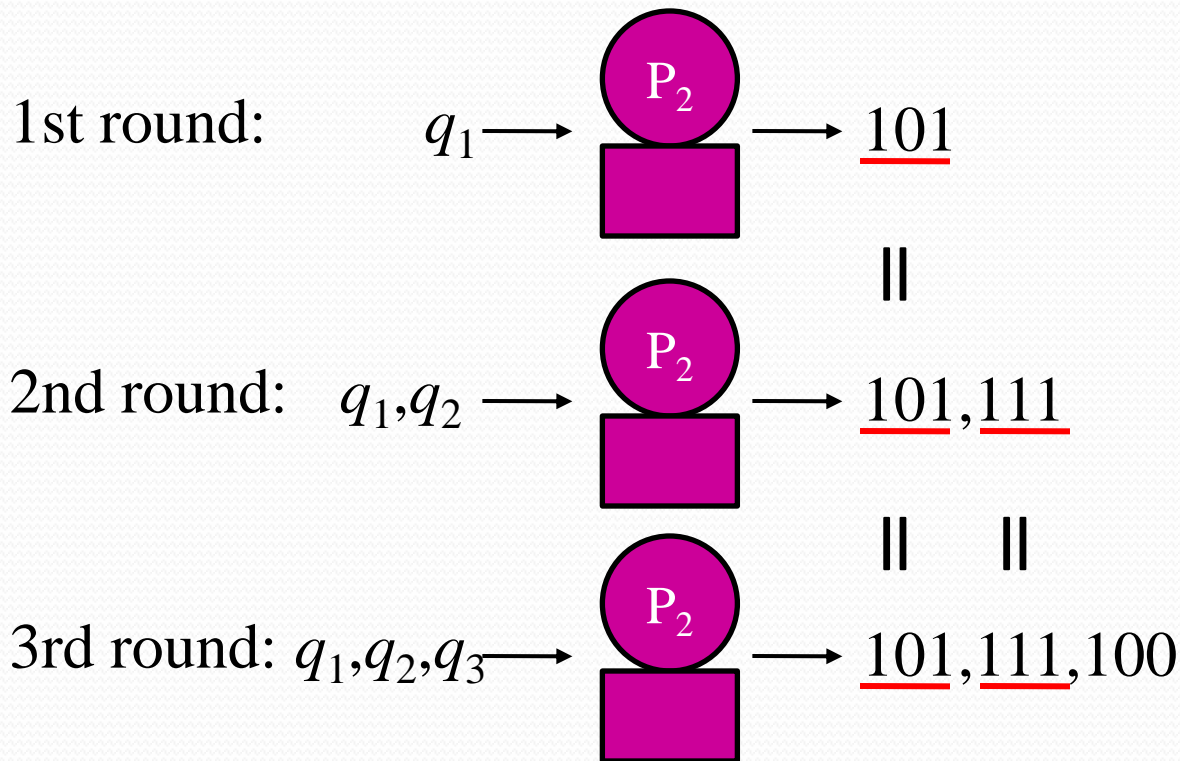
Construct P's strategy using distribution p_2



Analysis of soundness (2)



Construct P's strategy using distribution p_2



This P behaves similarly to P_1

\Rightarrow If $x \notin L$, P_1 and P_2 cannot be accepted w.p. much higher than 2^{-n}
 (Contradiction!) $\Rightarrow x \in L$

Final step: Parallel repetition

Running the protocol poly times in parallel

→ Soundness error becomes exp-small [Holenstein 2007]

Resulting protocol exactly the same as [Cai, Condon, Lipton 1994]

Implication

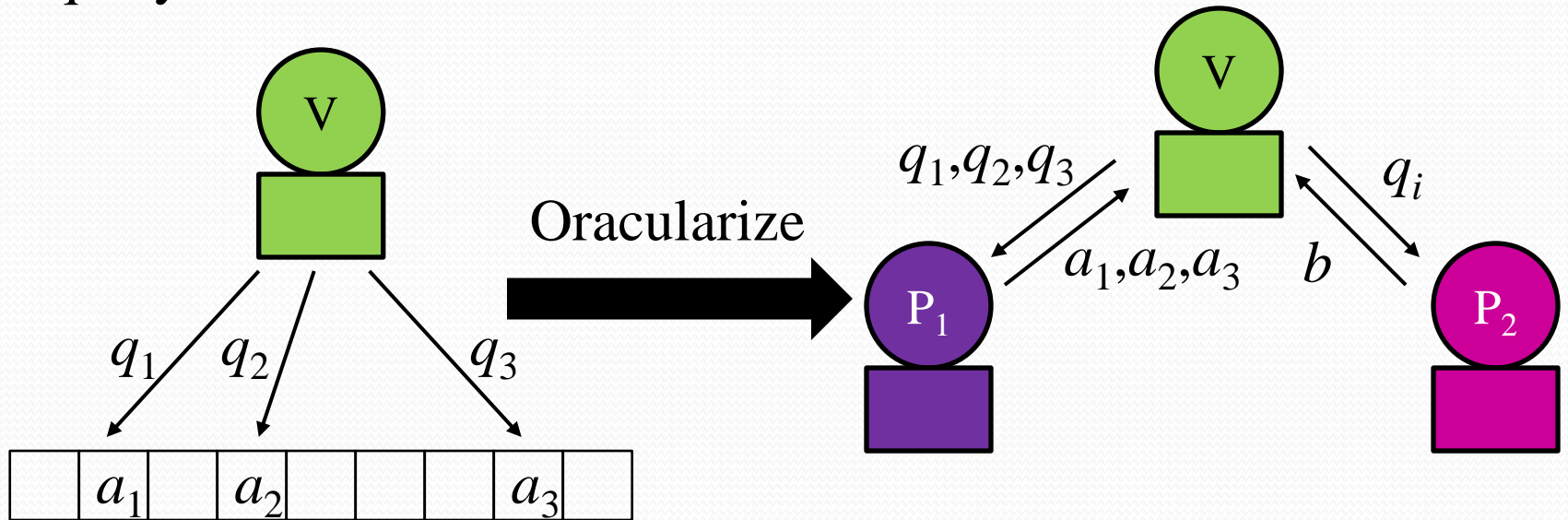
Oracularization of 1-prover IP protocols works even if 2 provers are just no-signaling

Cf. 1-prover constant-round IP is weak: $IP(k)=AM \subseteq \Pi_2P$
[Goldwasser, Sipser 1986 & Babai, Moran 1988]

If we want constant-round interactive proof with exp-small error, asking 2 provers is more powerful than asking 1 prover even if 2 provers are entangled (unless the polynomial hierarchy collapses)

2-prover 1-round protocol for NEXP

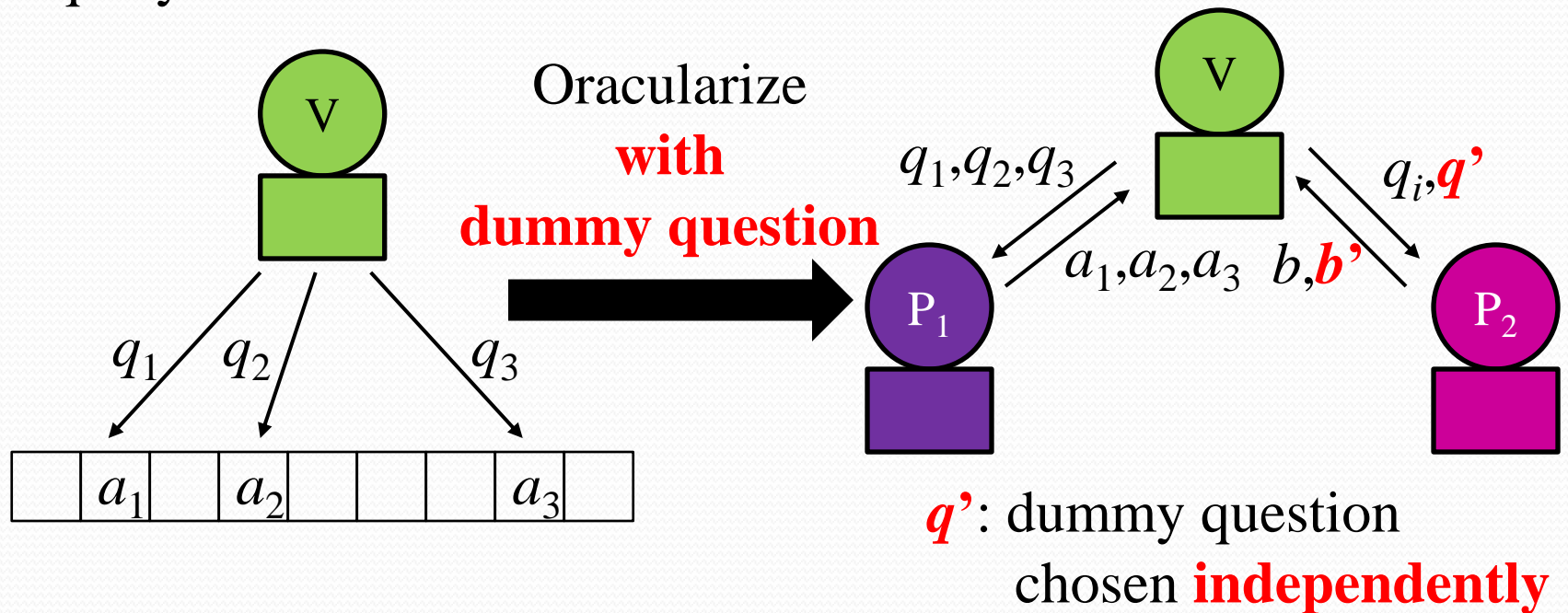
3-query PCP for $L \in \text{NEXP}$



Provers can cheat with entanglement
(Kochen-Specker game, Magic Square game)
[Cleve, Høyer, Toner, Watrous 2004]

Dummy question prevents perfect cheating

3-query PCP for $L \in \text{NEXP}$



High acceptance prob.

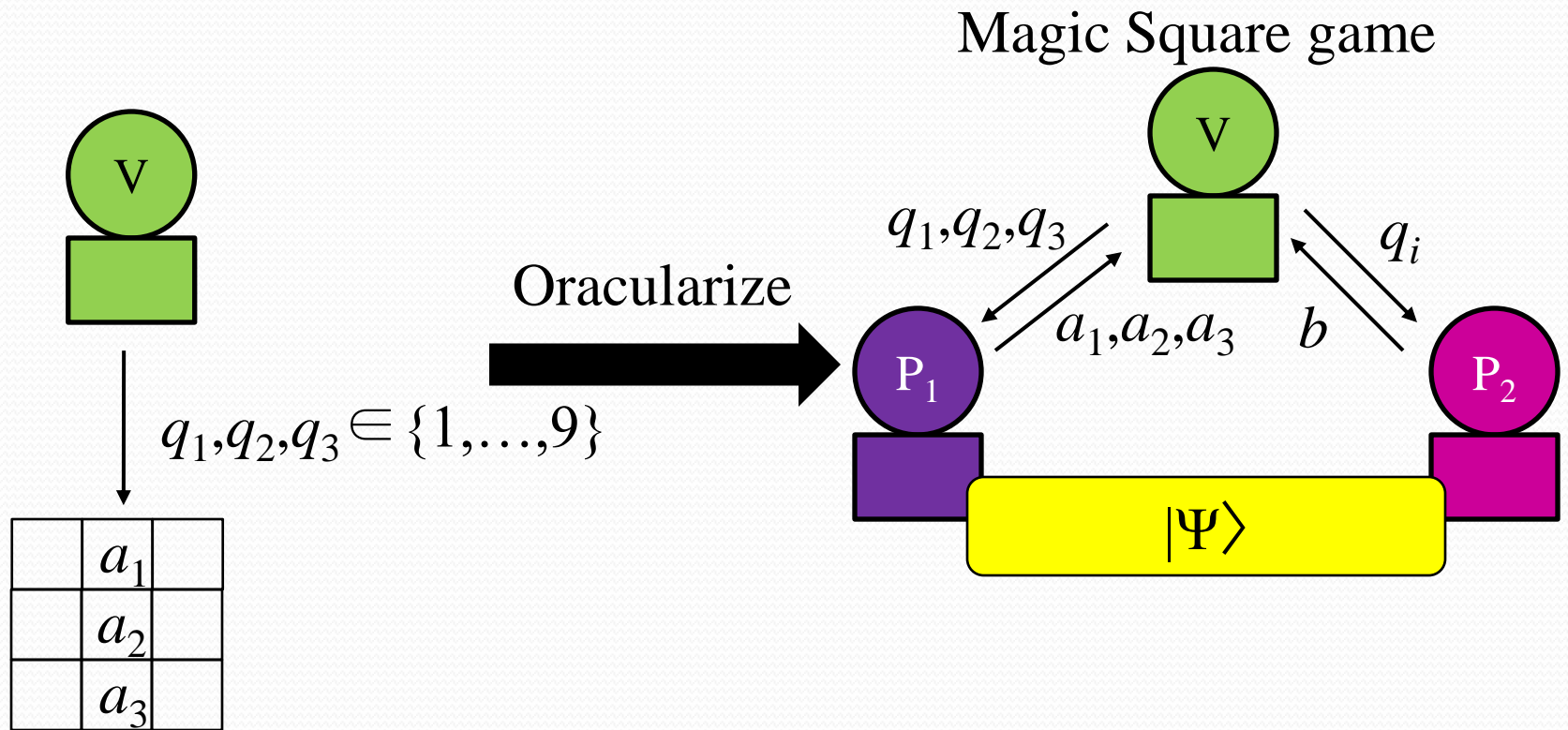
\Rightarrow All the measurements by provers are almost commuting

\Rightarrow Soundness error at most $1 - 1/O(|Q|^2) = \mathbf{1 - 1/exp}$

\uparrow against entangled provers

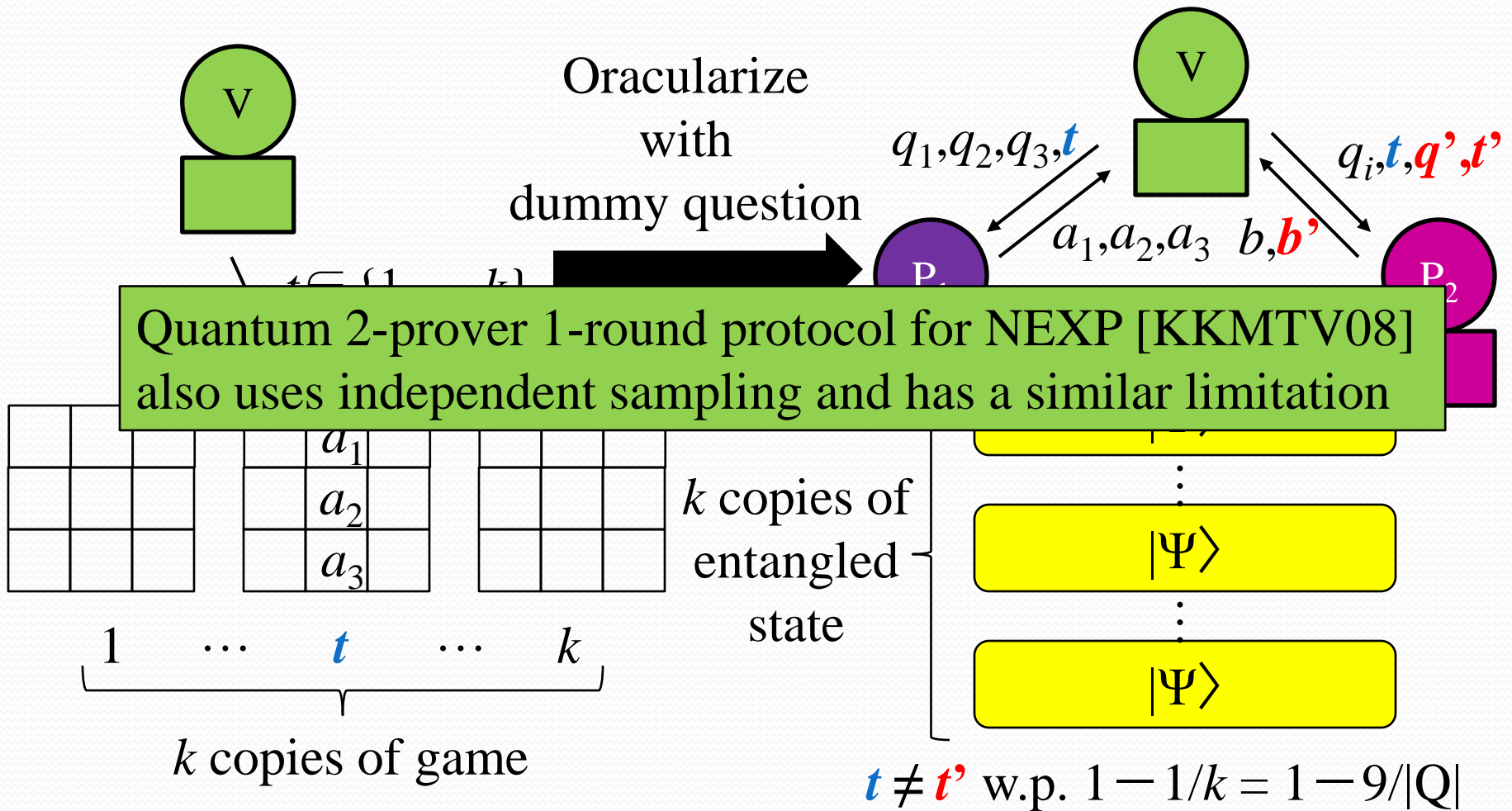
Similar to [Kempe, Kobayashi, Matsumoto, Toner, Vidick 2008]

Limit of independent sampling



- Each column has odd parity
- Each row has even parity

Limit of independent sampling



Summary

2-prover 1-round protocol for PSPACE
with **exp-small** soundness error against **no-signaling** provers
based on oracularization technique

2-prover 1-round protocol for NEXP
with $1 - 1/\text{exp}$ soundness error against entangled provers
using oracularization with dummy question

Independent sampling seems to impose limitation on soundness

- The above protocol for NEXP
- Quantum 2-prover 1-round protocol for NEXP by [KKMTV08]

Open problems

- Better soundness for EXP & NEXP
- Upper bound for MIP*
 - [Doherty, Liang, Toner, Wehner] [Navascués, Pironio, Acín] imply 2-prover 1-round $\text{MIP}^* \subseteq \text{Recursive}$ assuming finite-dim entanglement suffices
- Characterization of MIP^{ns} , MIP with no-signaling provers
 - $\text{PSPACE} \subseteq \text{MIP}^{\text{ns}} \subseteq \text{EXP}$ (upper bound based on LP [Preda])
- Parallel repetition for MIP*
- Alternative to oracularization
 - Parallelization
 - Possible using quantum answers from provers [Kempe, Kobayashi, Matsumoto, Vidick 2007]
 - Reducing the number of provers